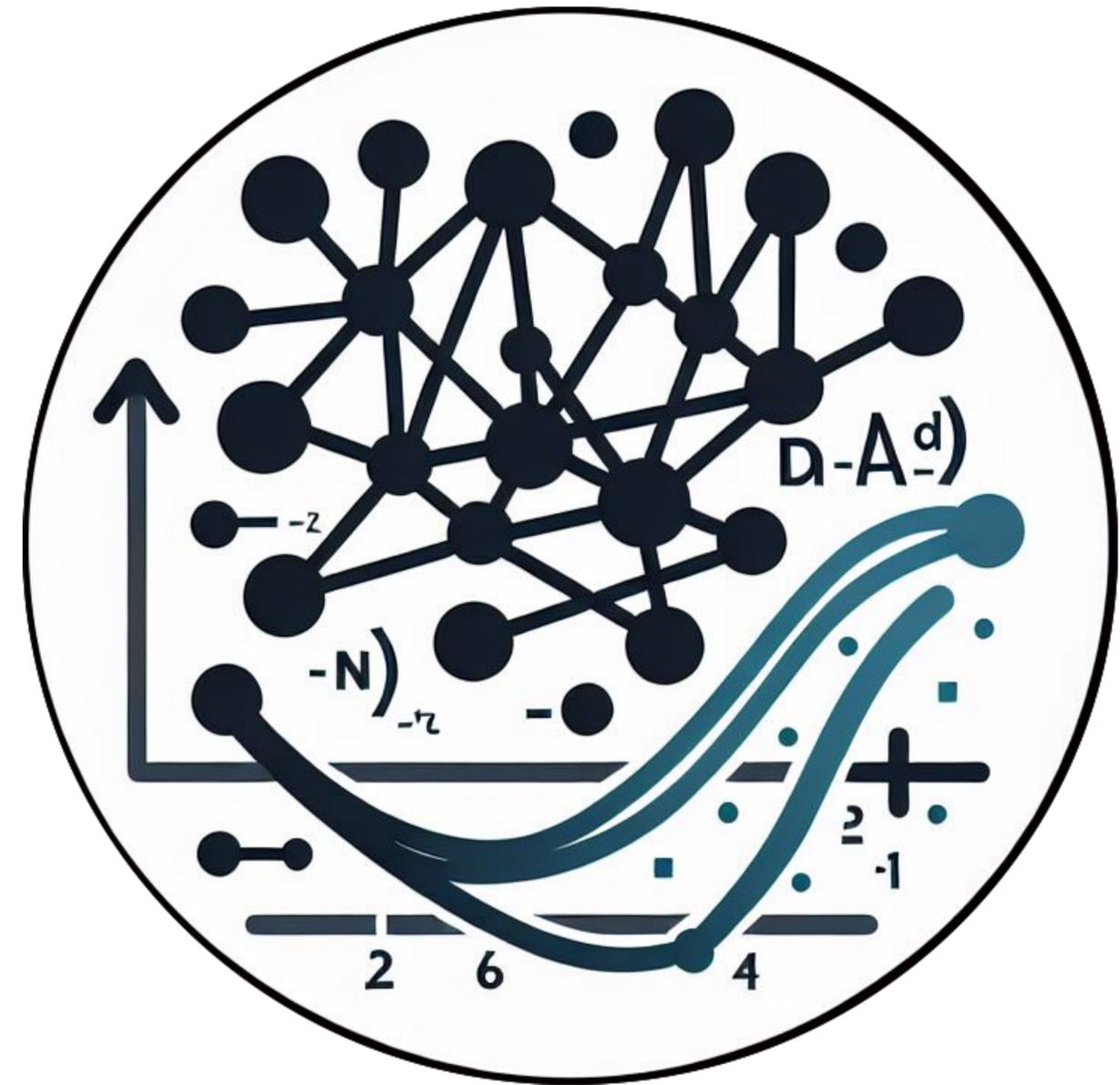


# Kolmogorov Arnold Networks



Deep Learning for Engineers

Andrew Ning

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submitted April 2024

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# KAN: Kolmogorov–Arnold Networks

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**James Halverson**<sup>3,4</sup> **Marin Soljačić**<sup>1,4</sup> **Thomas Y. Hou**<sup>2</sup> **Max Tegmark**<sup>1,4</sup>

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## Abstract

Inspired by the Kolmogorov-Arnold representation theorem, we propose Kolmogorov-Arnold Networks (KANs) as promising alternatives to Multi-Layer Perceptrons (MLPs). While MLPs have *fixed* activation functions on *nodes* (“neurons”), KANs have *learnable* activation functions on *edges* (“weights”). KANs have no linear weights at all – every

# Kolmogorov-Arnold Theorem

multivariable function  $f$  can be expressed as composition of univariate functions and addition

$$f(x) = \sum_{q=1}^{2n+1} \psi_q \left( \sum_{p=1}^n \phi_{q,p}(x_p) \right)$$

$$y = f(x_1, x_2, x_3, \dots, x_n)$$

multivariate function

$y = f(x_1, x_2, x_3, \dots, x_n)$       multivariate function

$\phi_1(x_1) + \phi_2(x_2) + \dots + \phi_n(x_n) = \sum_{p=1}^n \phi_p(x_p)$       sum of univariate functions

$$y = f(x_1, x_2, x_3, \dots, x_n) \quad \text{multivariate function}$$

$$\phi_1(x_1) + \phi_2(x_2) + \dots + \phi_n(x_n) = \sum_{p=1}^n \phi_p(x_p) \quad \text{sum of univariate functions}$$

$$\psi \left( \sum_{p=1}^n \phi_p(x_p) \right) \quad \text{pass through another univariate function:}$$

$$y = f(x_1, x_2, x_3, \dots, x_n) \quad \text{multivariate function}$$

$$\phi_1(x_1) + \phi_2(x_2) + \dots + \phi_n(x_n) = \sum_{p=1}^n \phi_p(x_p) \quad \text{sum of univariate functions}$$

$$\psi \left( \sum_{p=1}^n \phi_p(x_p) \right) \quad \text{pass through another univariate function:}$$

$$y = \sum_{q=1}^{2n+1} \psi_q \left( \sum_{p=1}^n \phi_{qp}(x_p) \right) \quad \text{sum over many such functions:}$$

$$\begin{aligned} & \psi_1(\phi_{11}(x_1) + \phi_{12}(x_2) + \dots + \phi_{1n}(x_n)) \quad + \\ & \psi_2(\phi_{21}(x_1) + \phi_{22}(x_2) + \dots + \phi_{2n}(x_n)) \quad + \\ & \quad \vdots \\ & \psi_m(\phi_{m1}(x_1) + \phi_{m2}(x_2) + \dots + \phi_{mn}(x_n)) \end{aligned}$$

# Two Layers

$$y = \sum_{q=1}^{2n+1} \psi_q \left( \sum_{p=1}^n \phi_{qp}(x_p) \right)$$

$$[n, 2n + 1, 1]$$

Layer 1

$$z = \begin{bmatrix} \phi_{11}(x_1) + \phi_{12}(x_2) + \dots + \phi_{1n}(x_n) \\ \phi_{21}(x_1) + \phi_{22}(x_2) + \dots + \phi_{2n}(x_n) \\ \vdots \\ \phi_{m1}(x_1) + \phi_{m2}(x_2) + \dots + \phi_{mn}(x_n) \end{bmatrix}$$

Layer 2

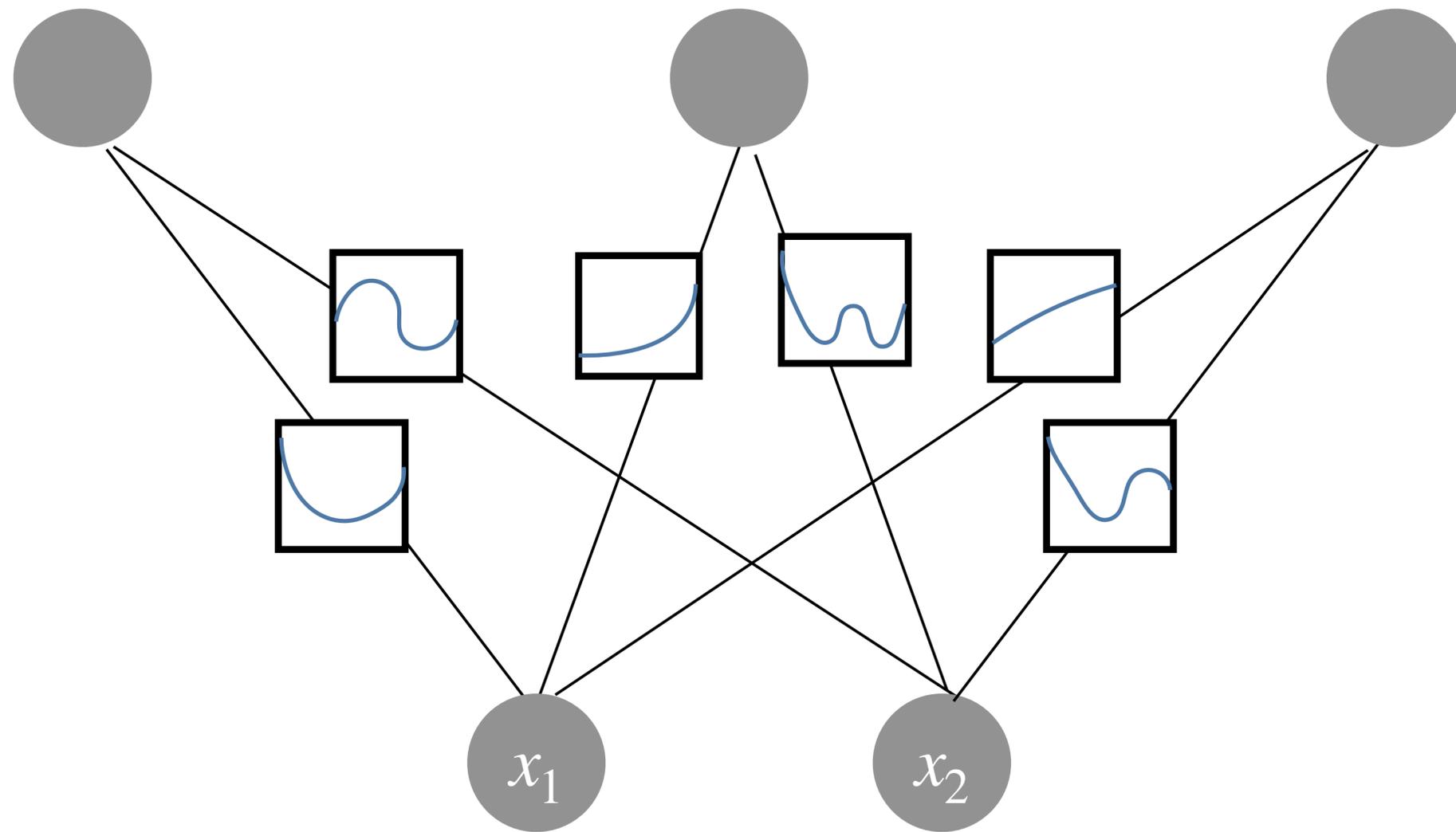
$$y = [\psi_1(z_1) + \psi_2(z_2) + \dots + \psi_m(z_m)]$$

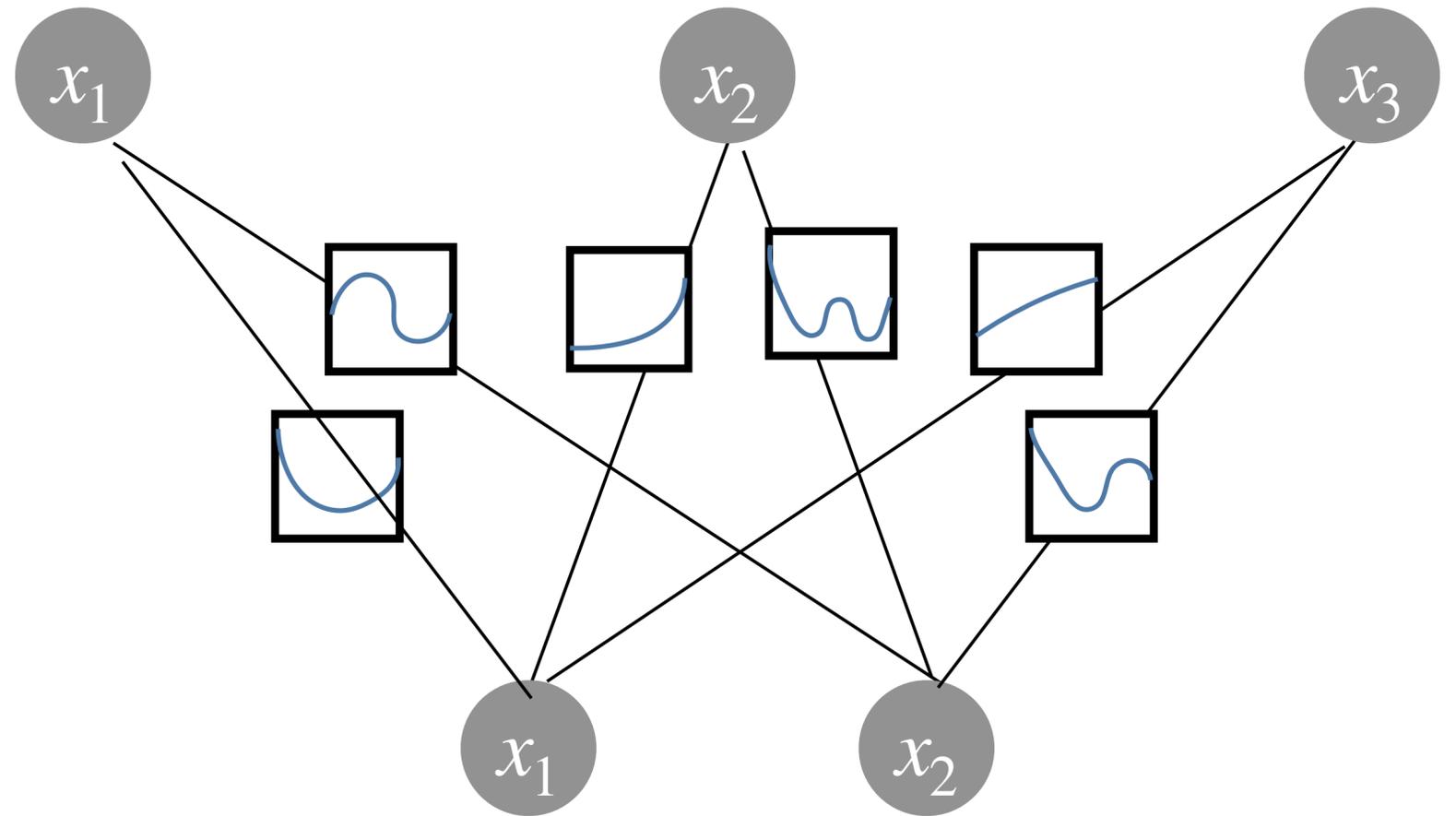
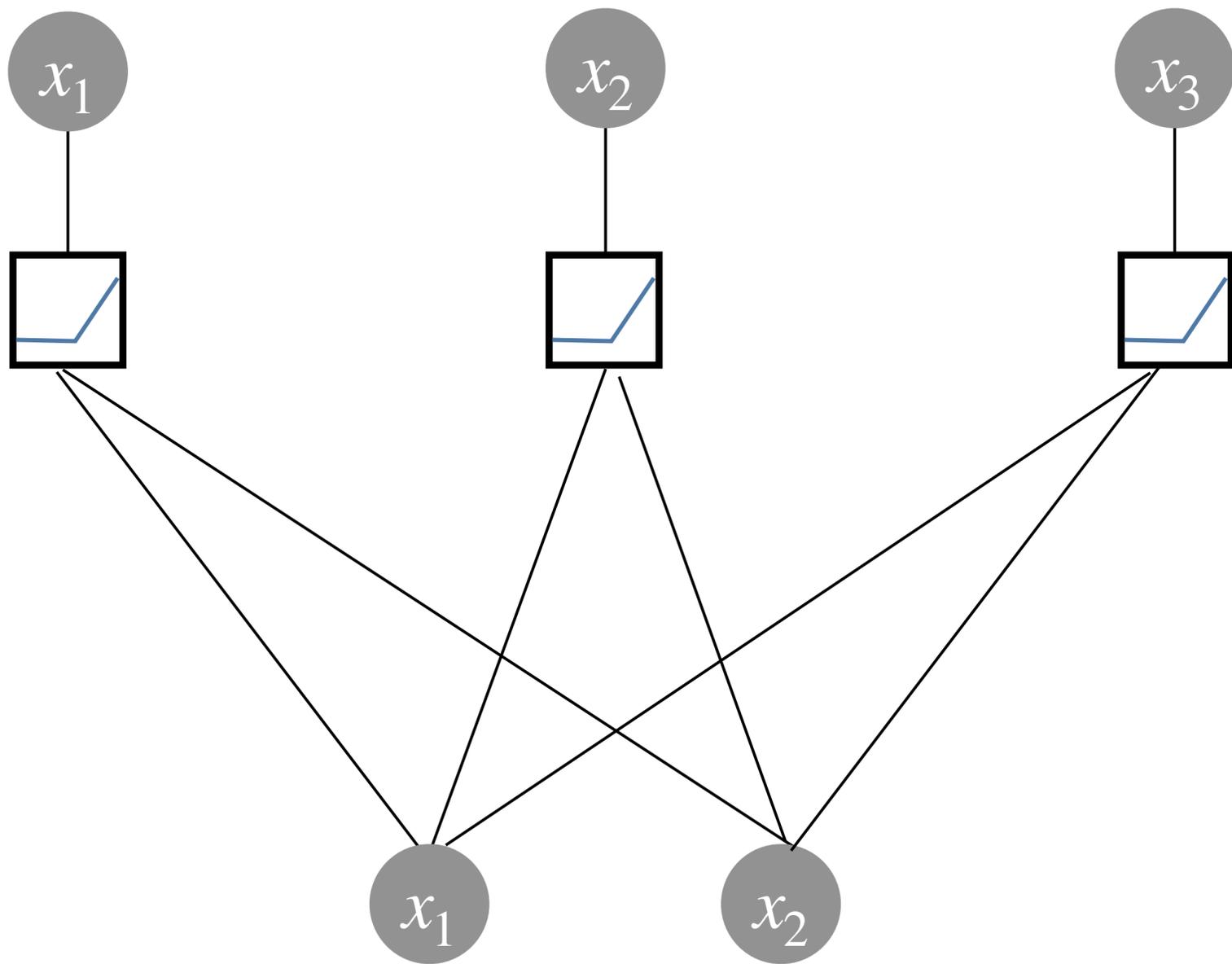
# Generalize

$$\underbrace{\begin{bmatrix} \phi_{11} & \phi_{12} & \dots & \phi_{1n} \\ \phi_{21} & \phi_{22} & \dots & \phi_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ \phi_{m1} & \phi_{m2} & \dots & \phi_{mn} \end{bmatrix}}_{\Phi^{(l)}} \left( \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} \right)$$

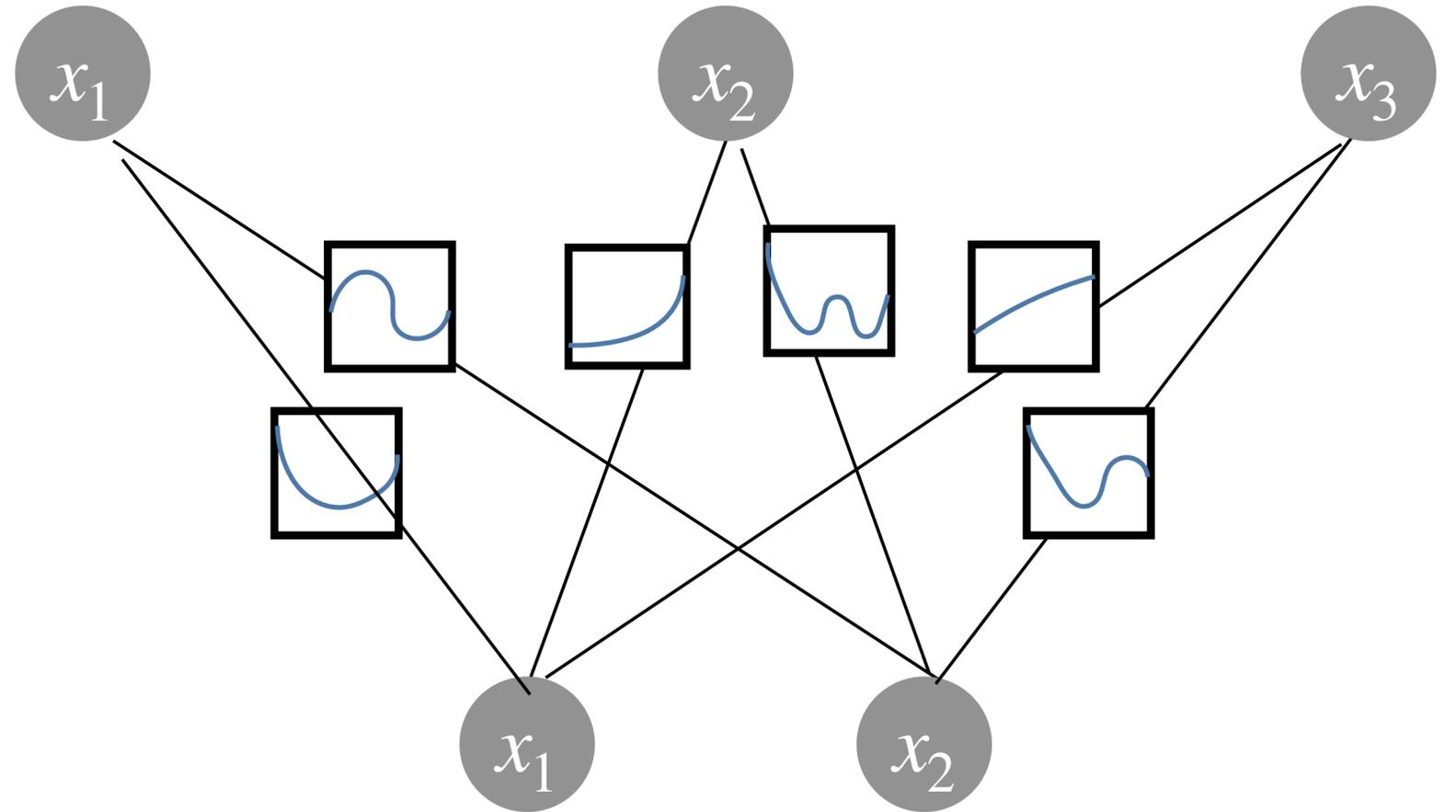
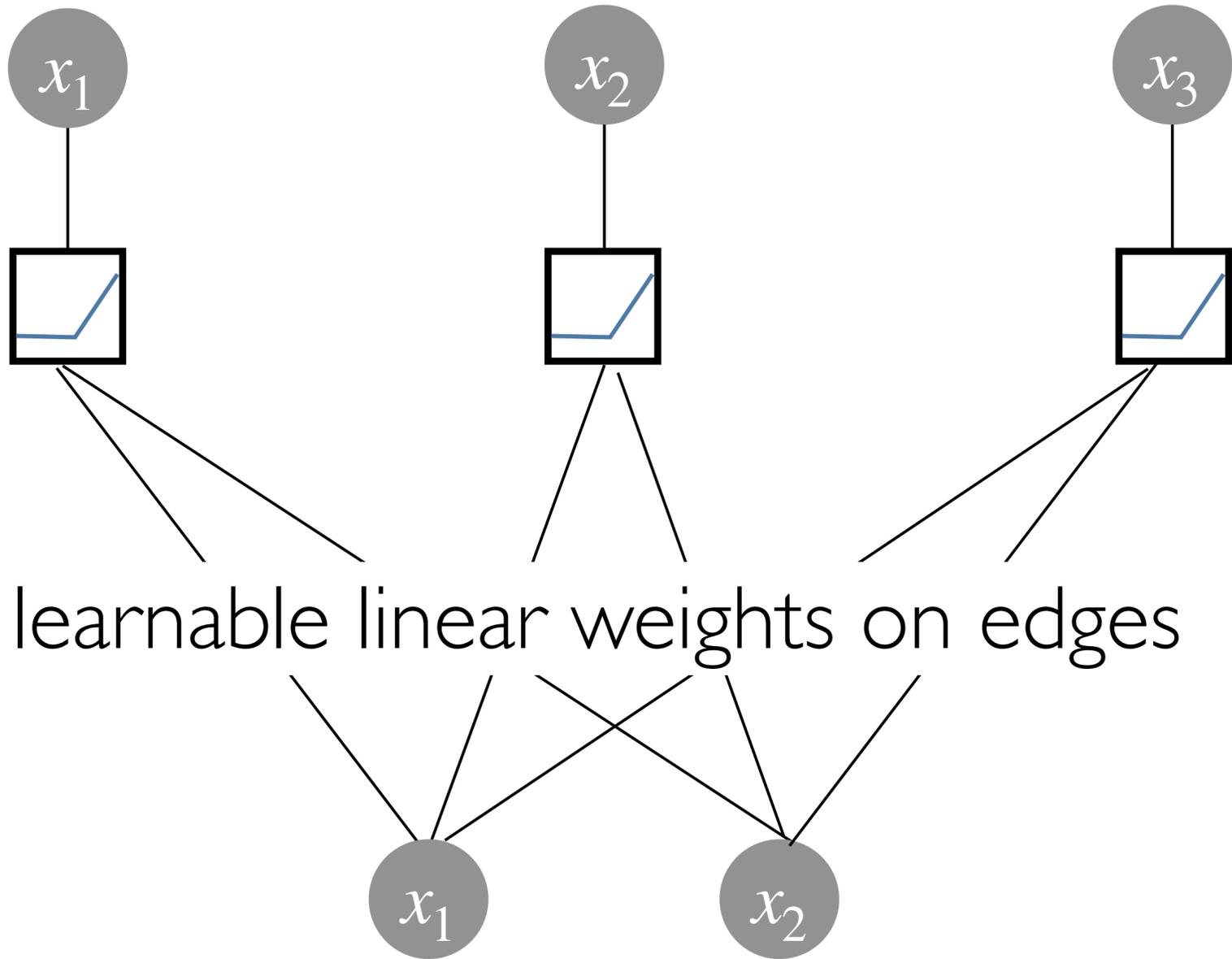
$$y = (\Phi^{(L)} \circ \dots \circ \Phi^{(3)} \circ \Phi^{(2)} \circ \Phi^{(1)})x$$

( $\Phi$  are functions, not numbers)

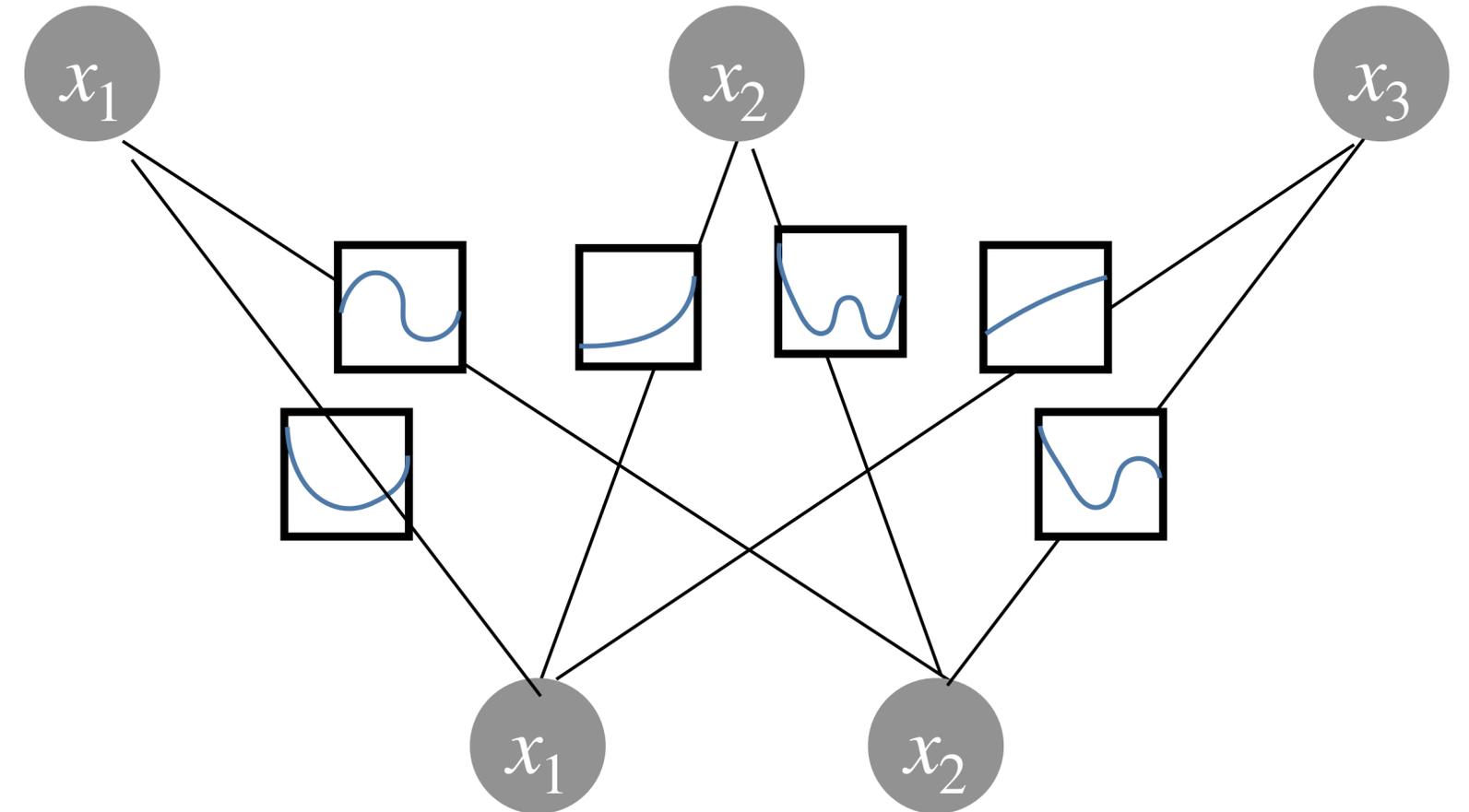
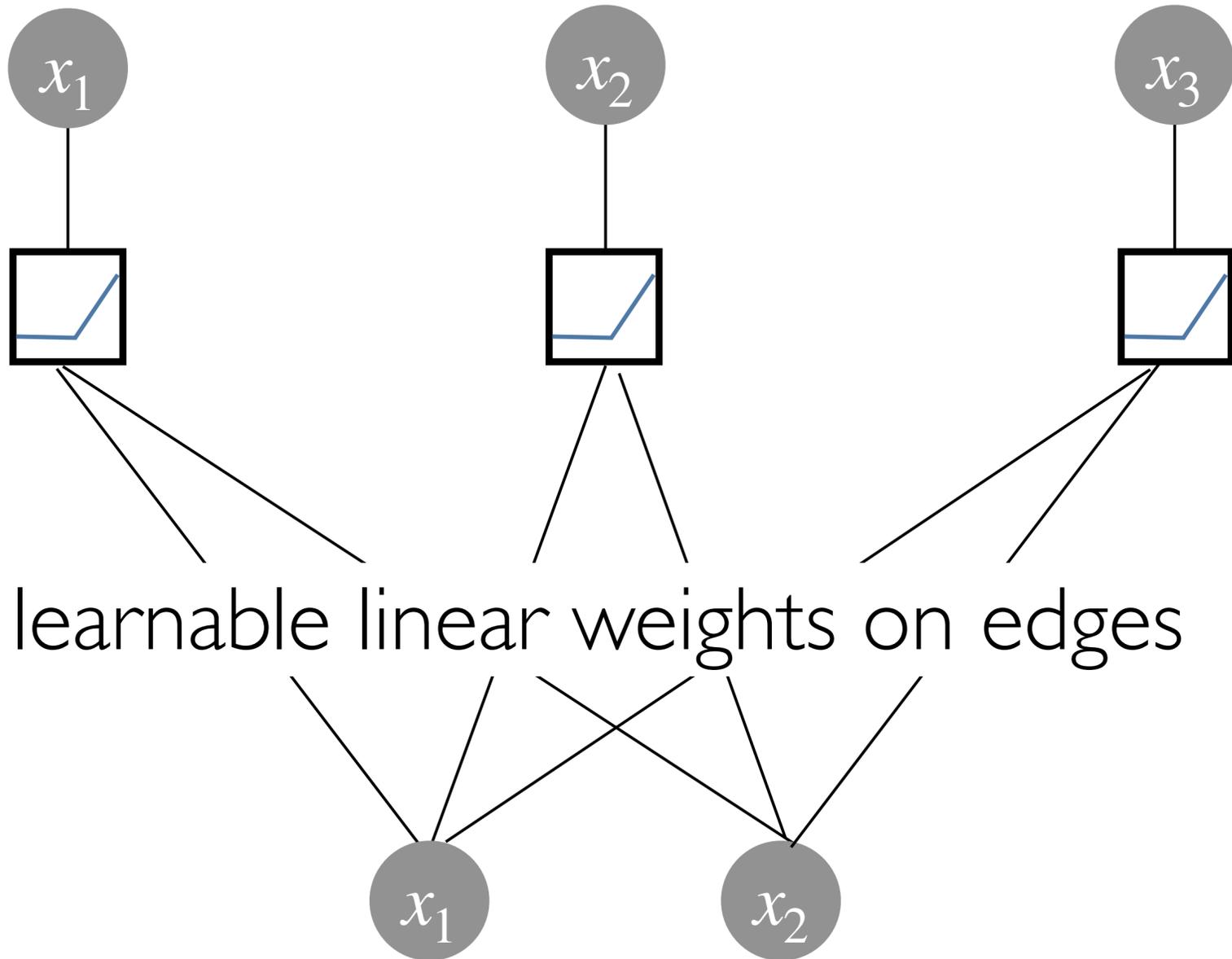




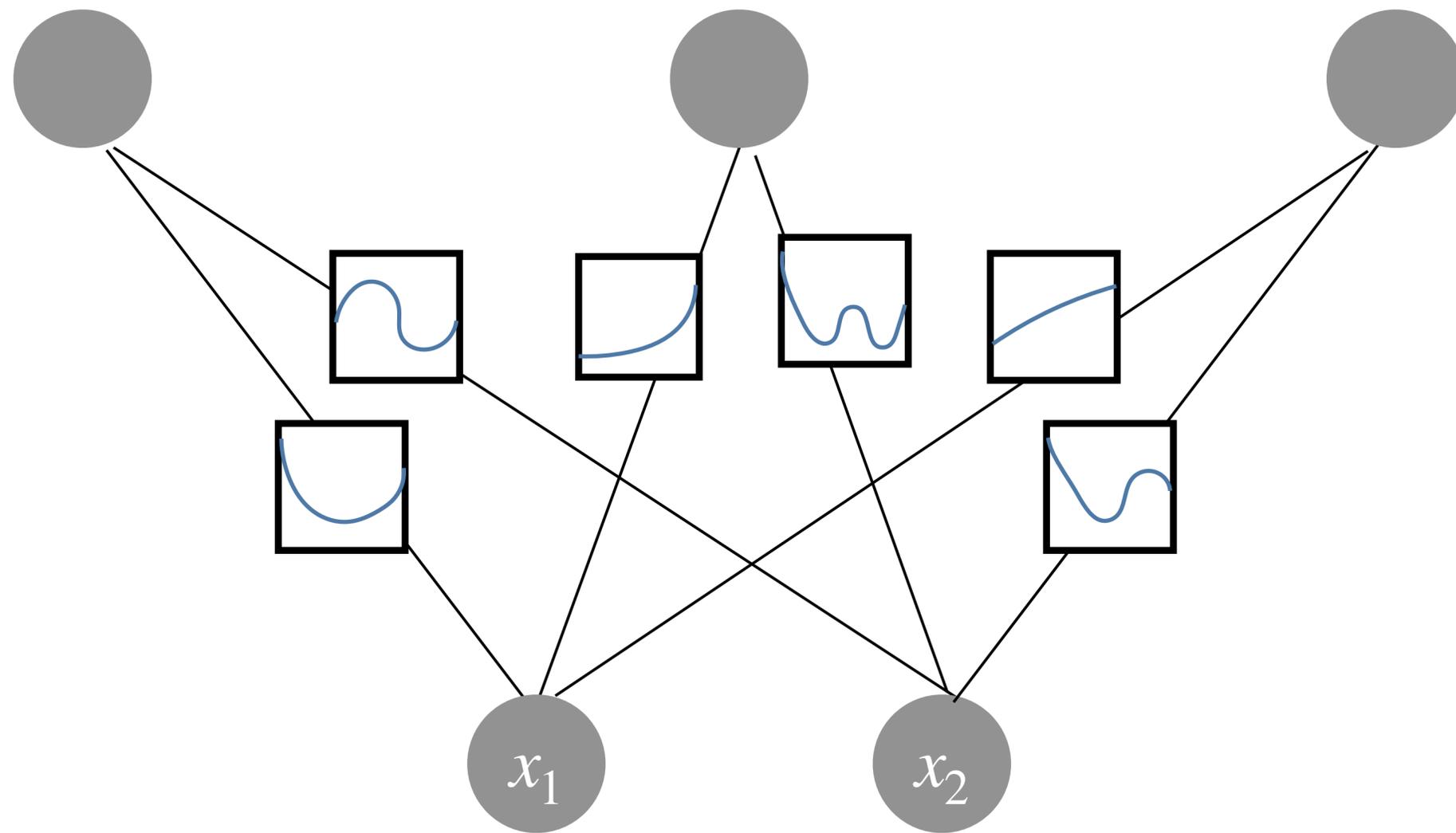
fixed nonlinear functions on nodes

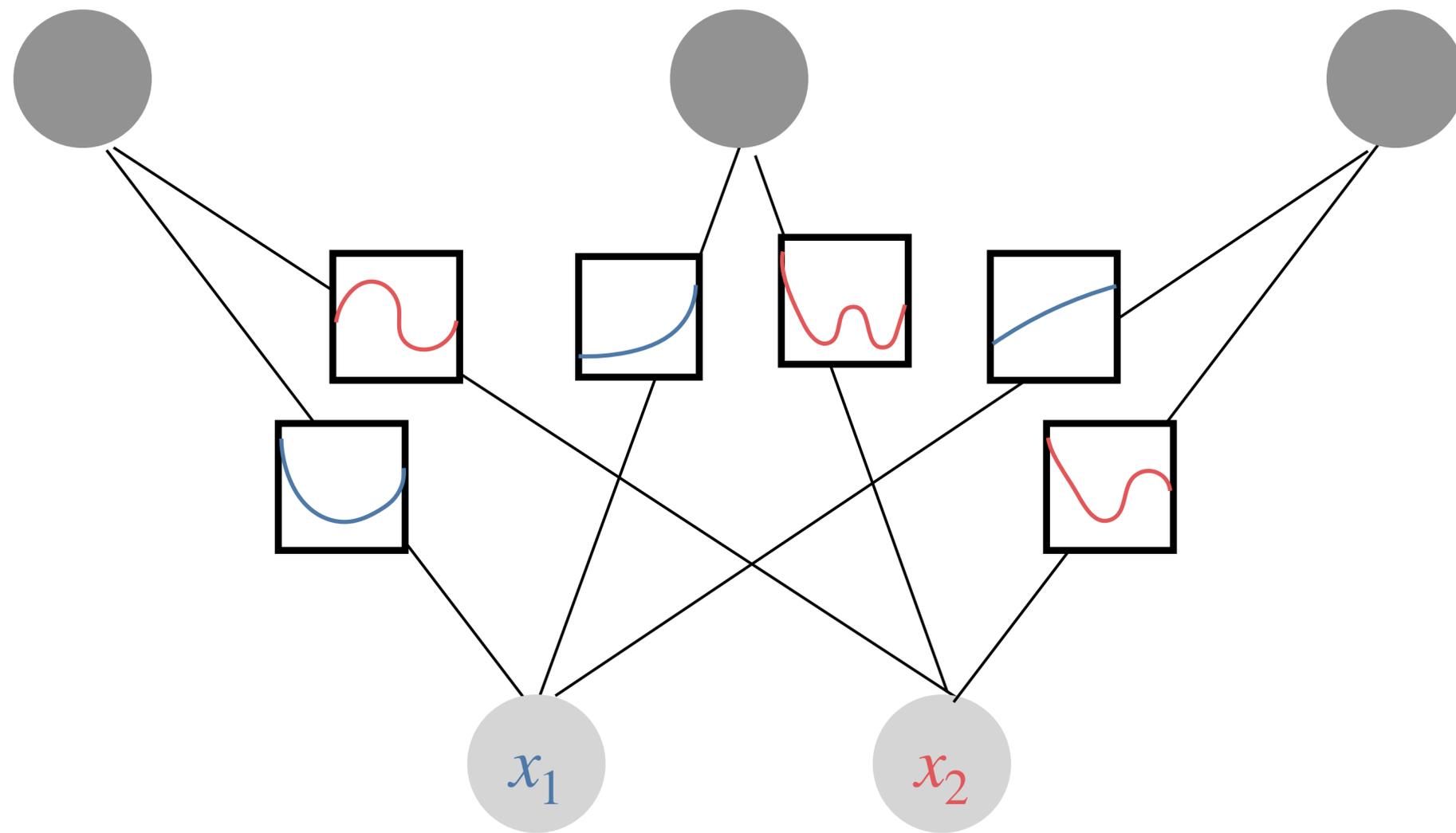


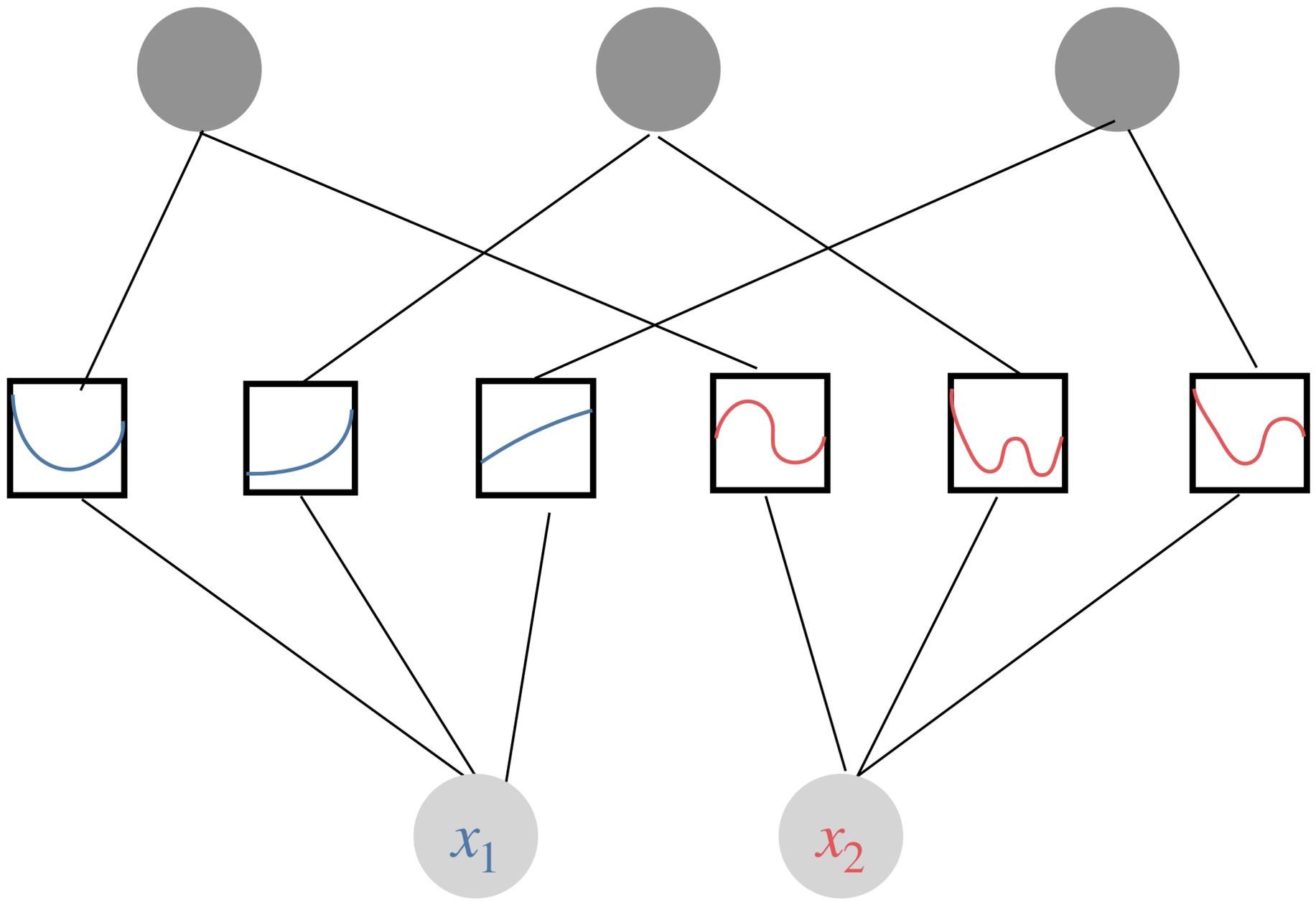
fixed nonlinear functions on nodes



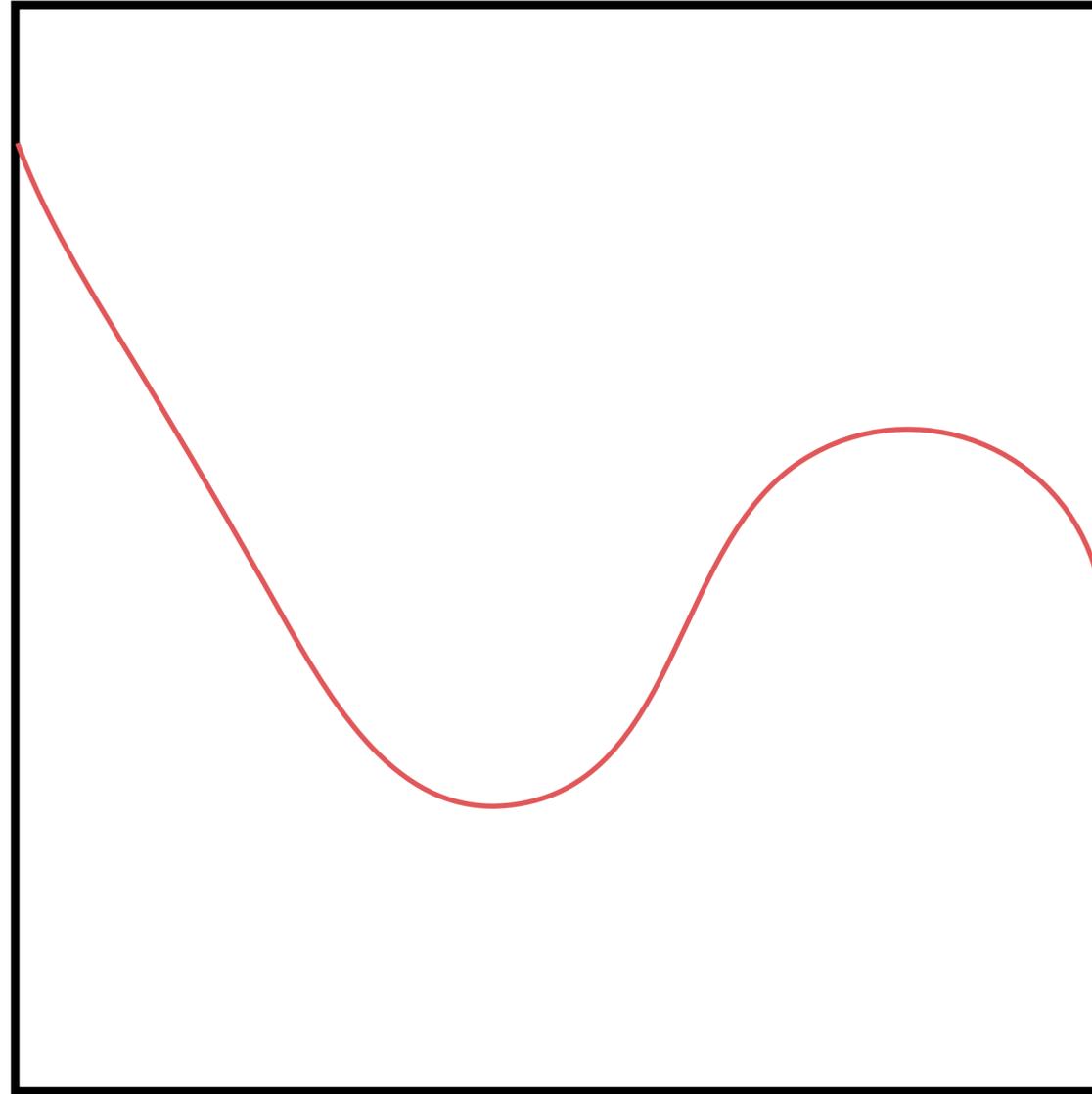
learnable nonlinear functions on edges



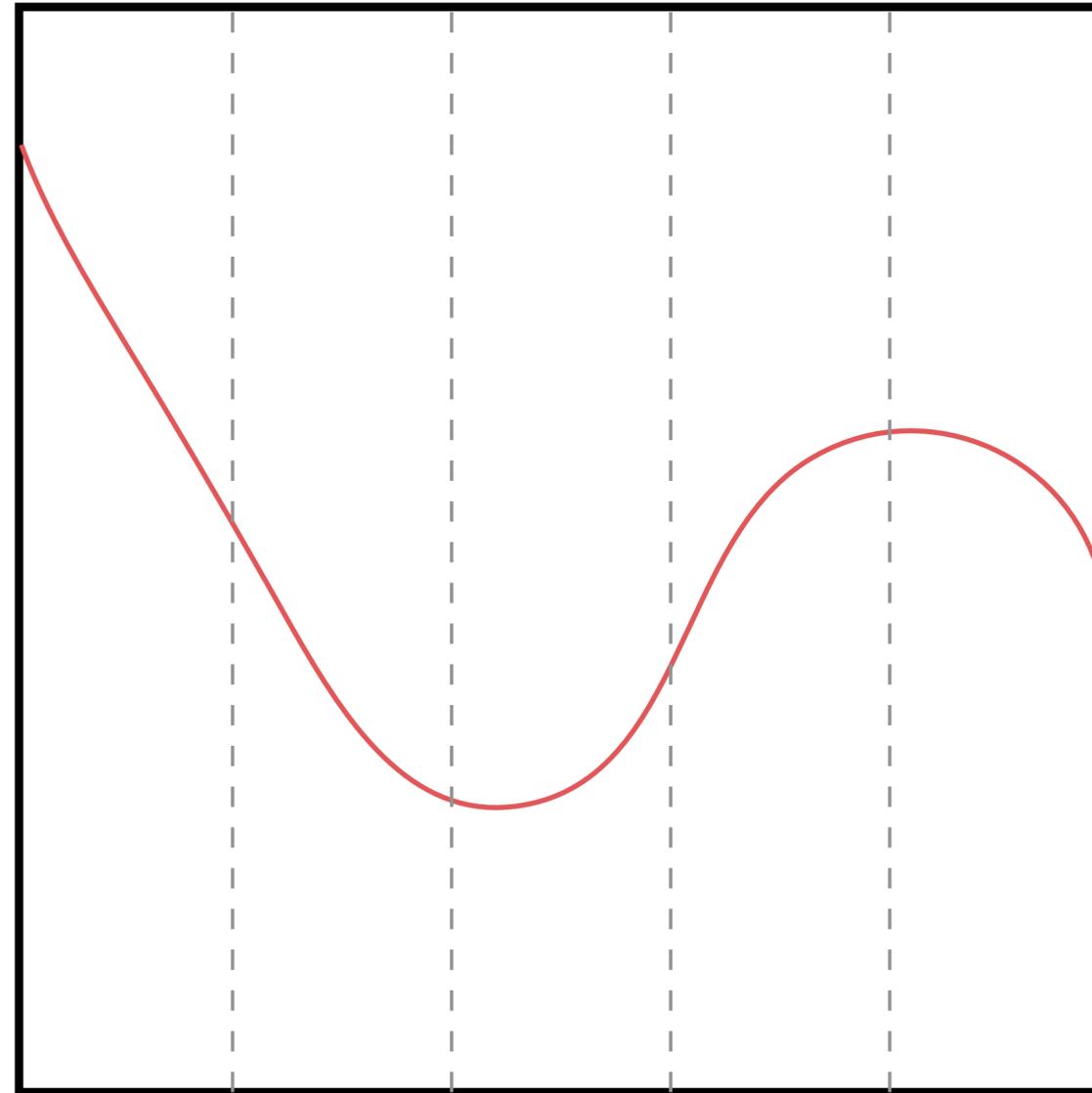




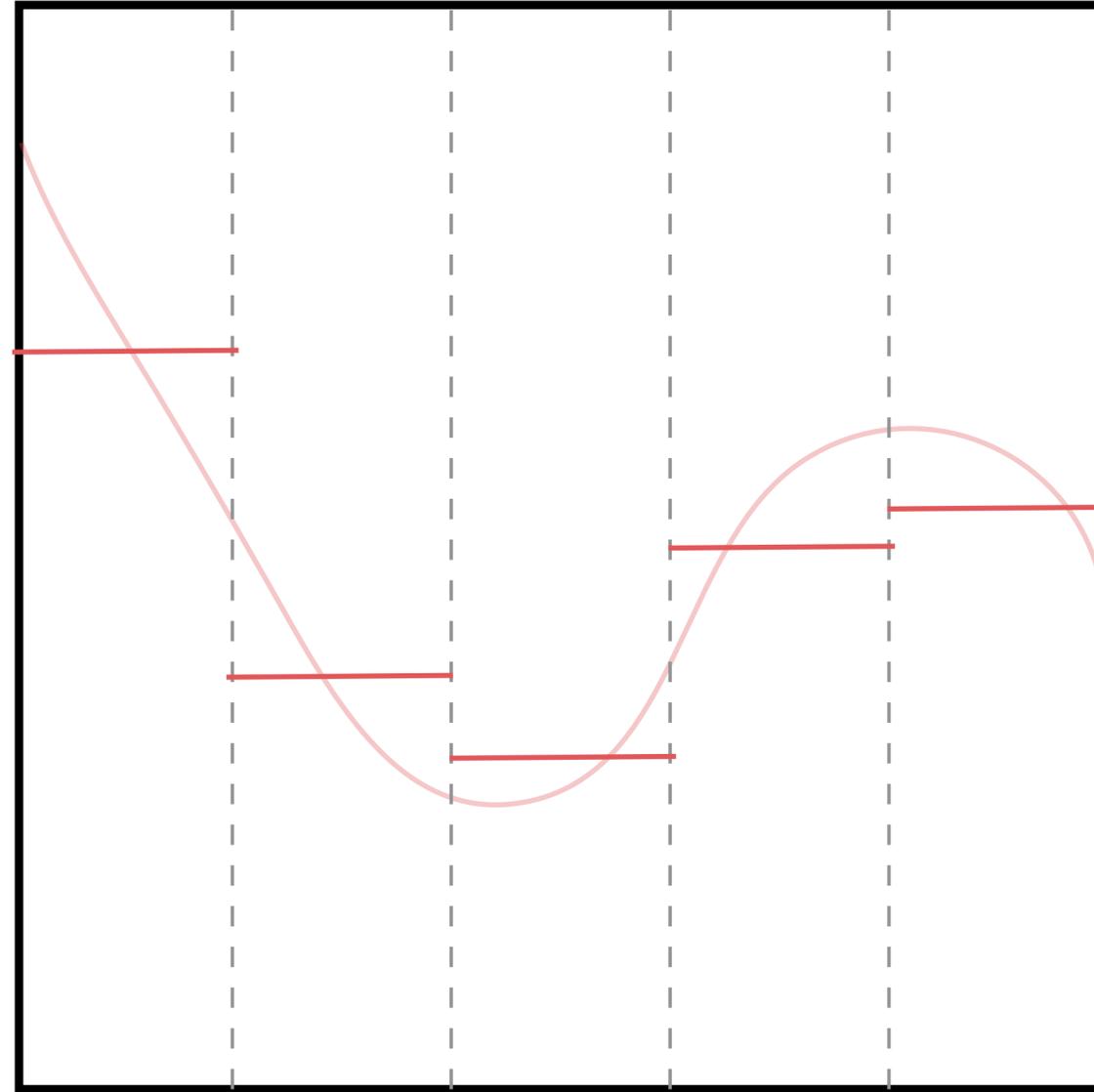
# B-Splines



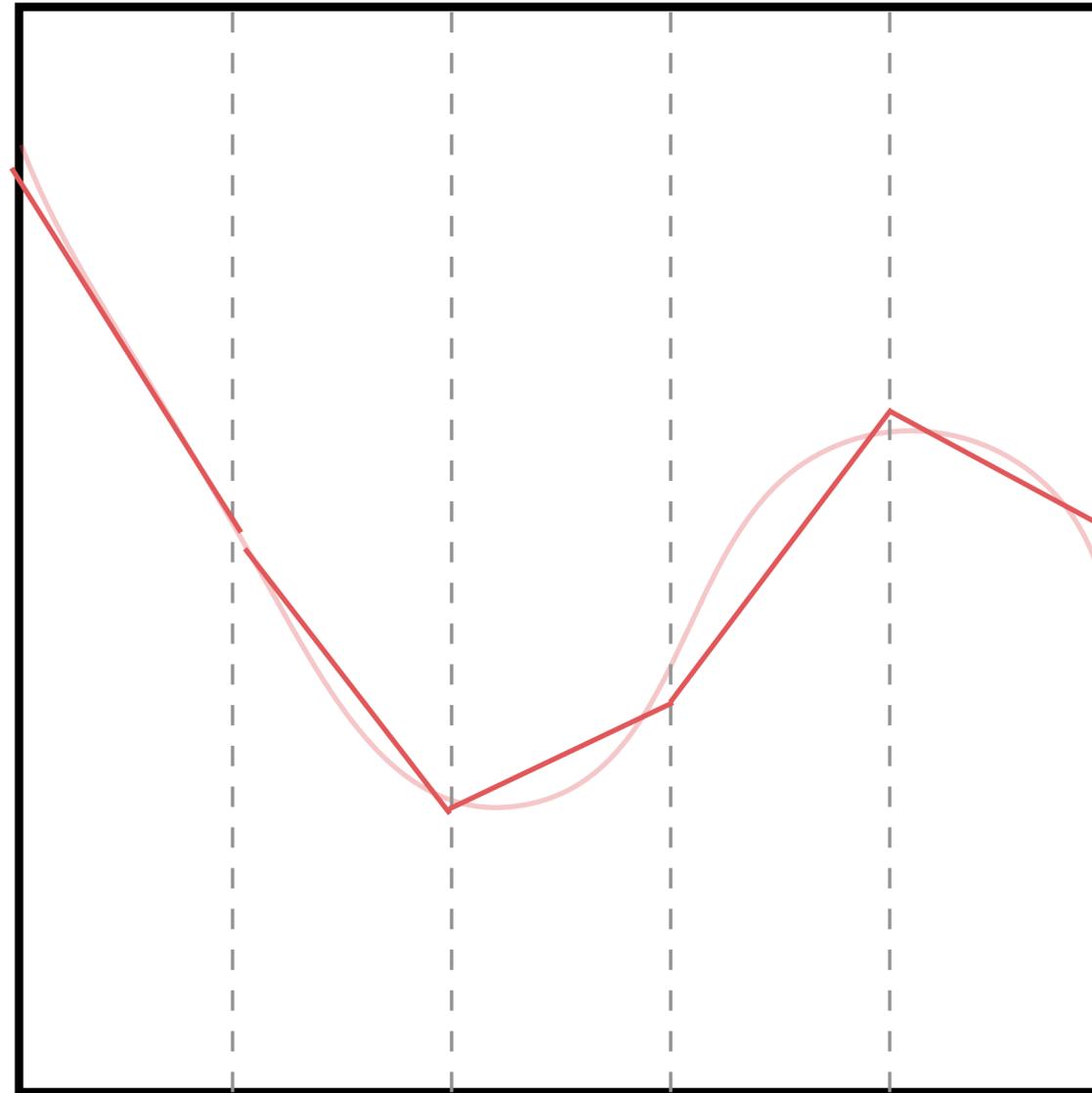
# B-Splines



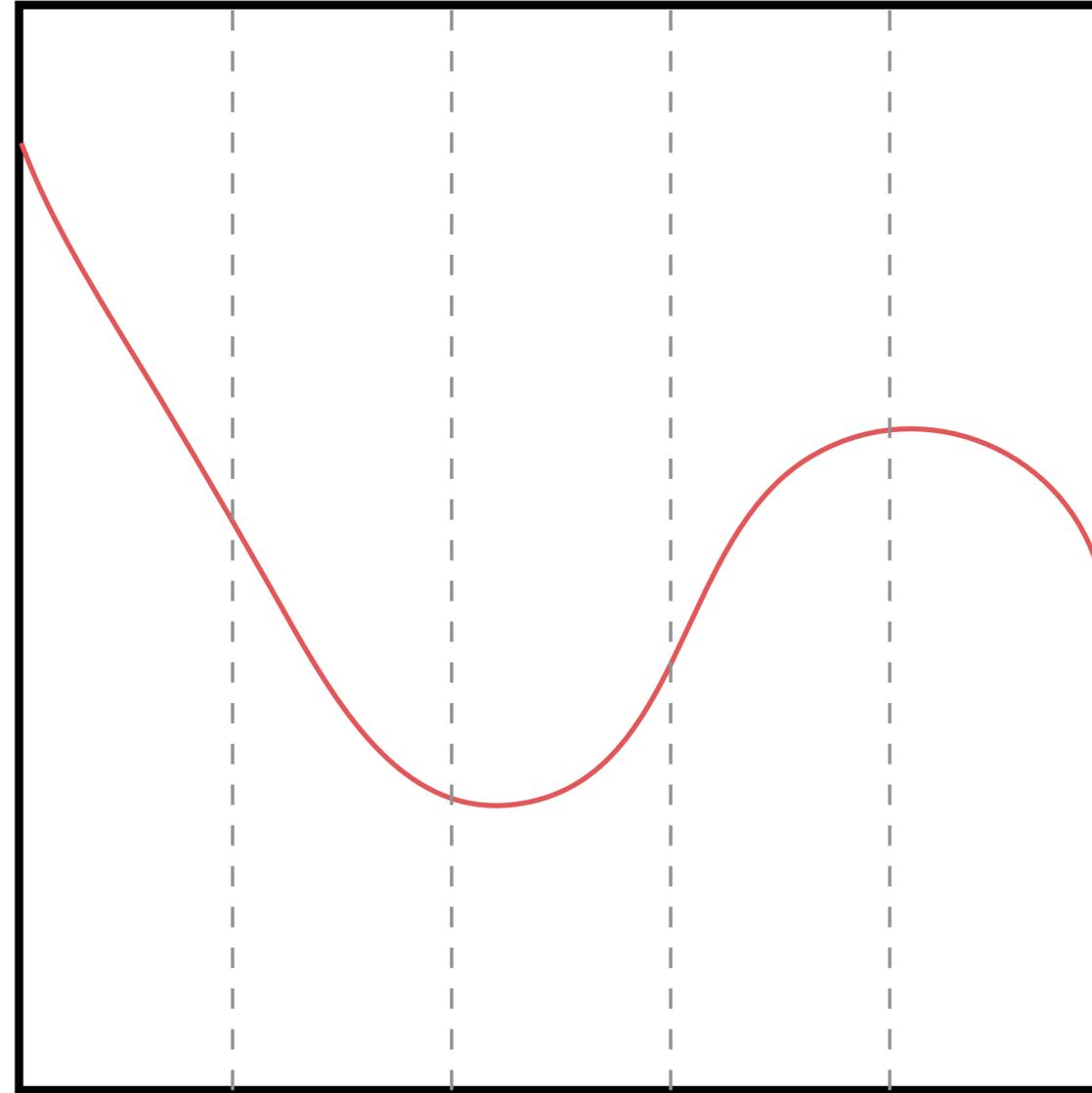
# Constant B-Splines



# Linear B-Splines

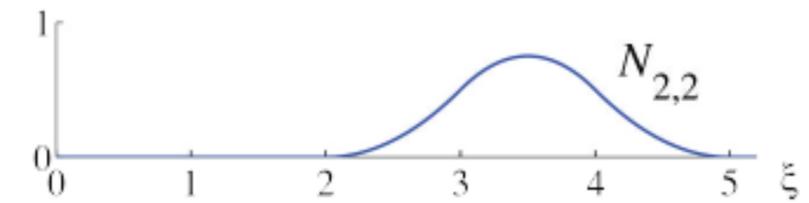
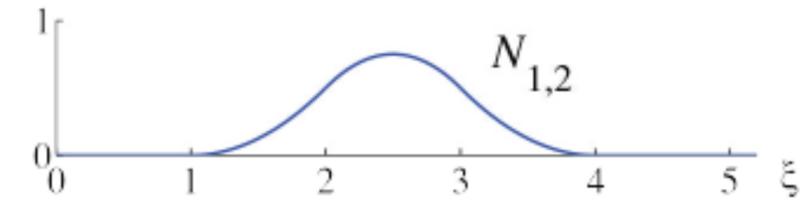
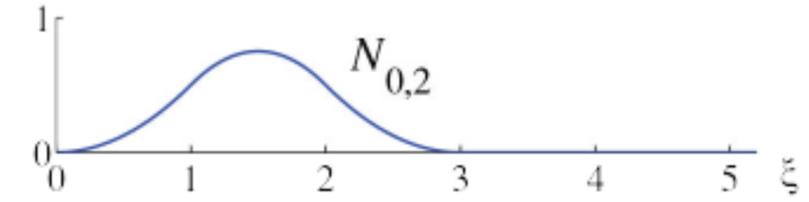
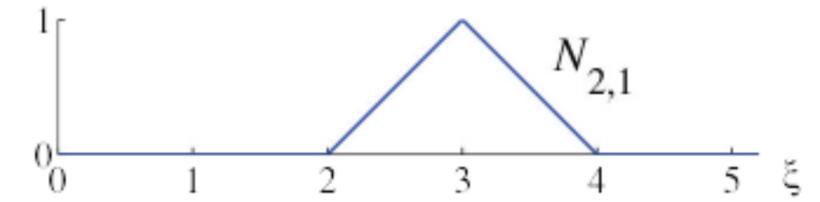
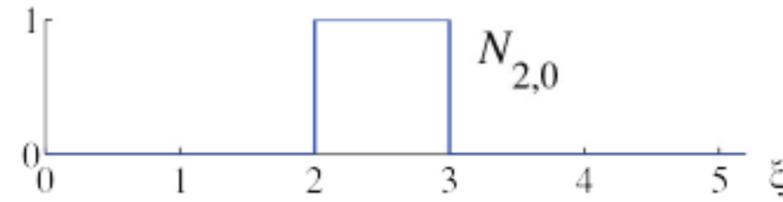
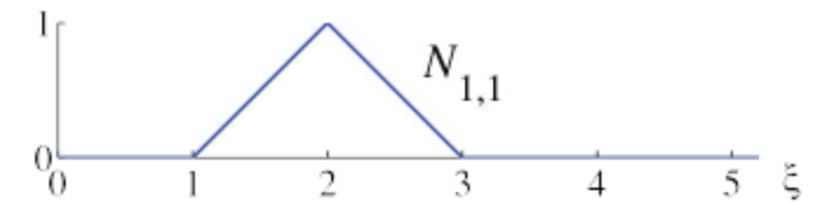
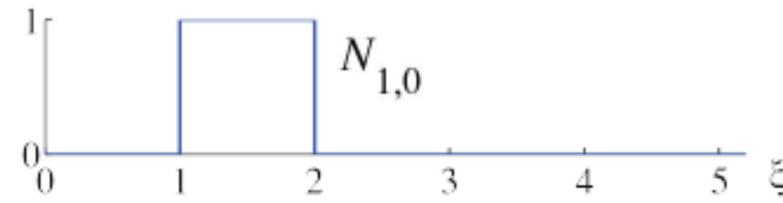
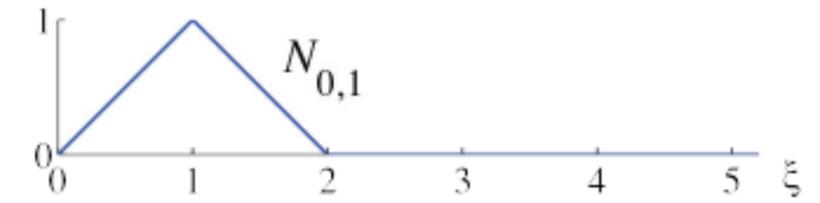
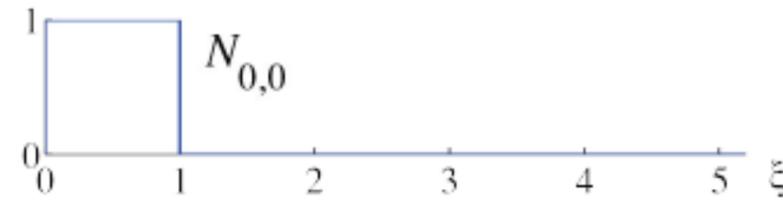


# Cubic B-Splines

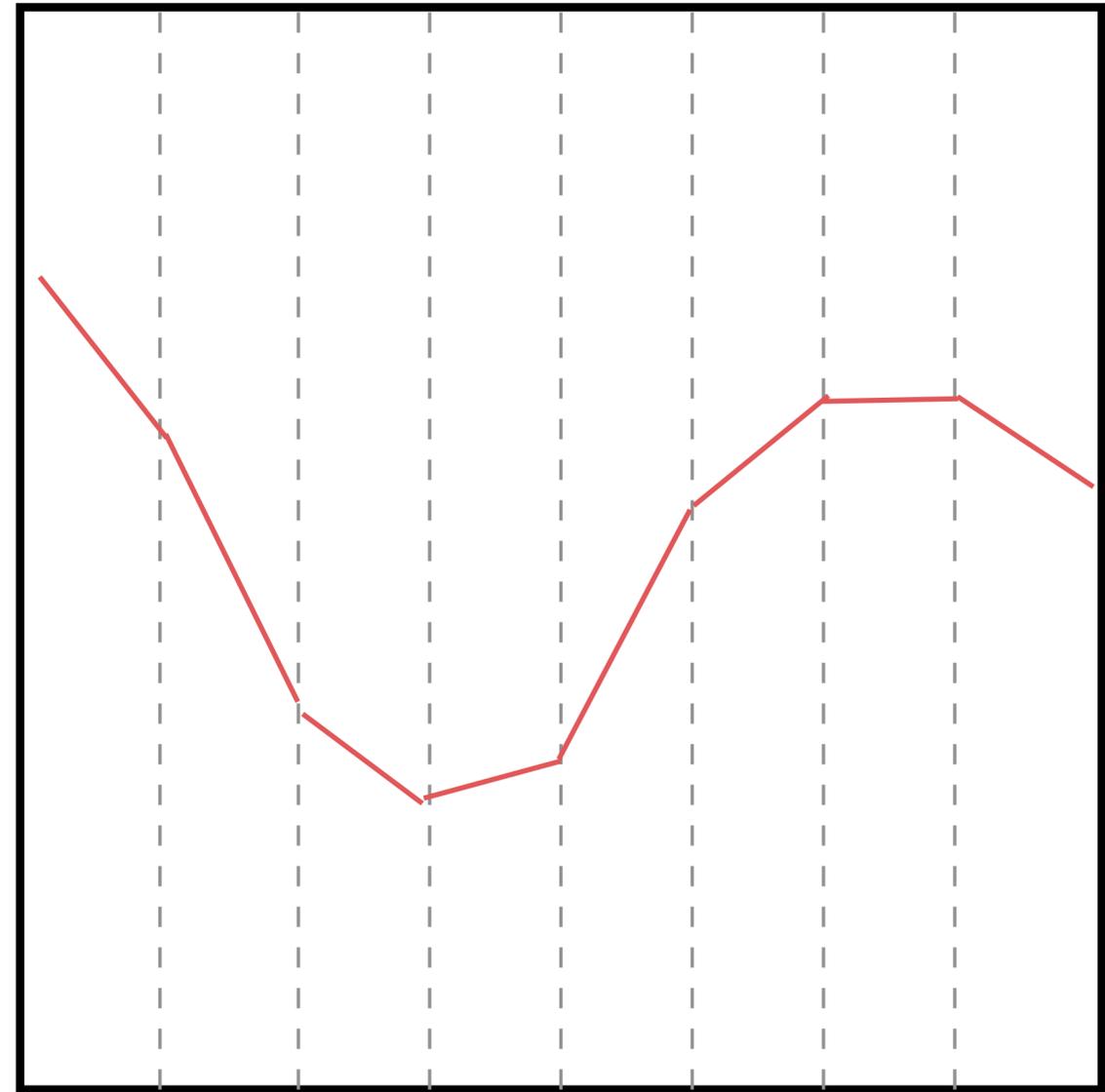
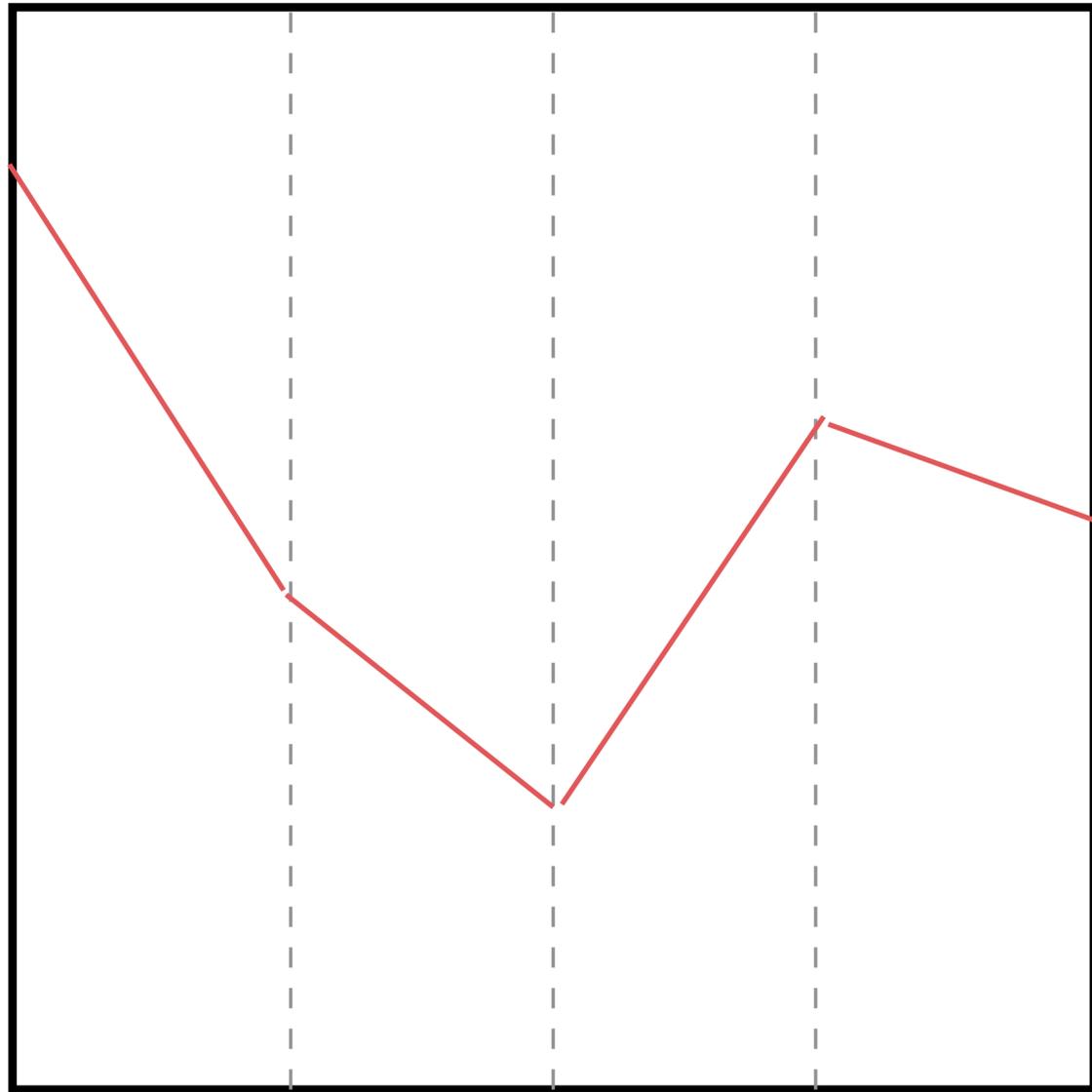


# B-splines

$$\text{spline}(x) = \sum_i c_i B_i(x)$$



# Grid Extension

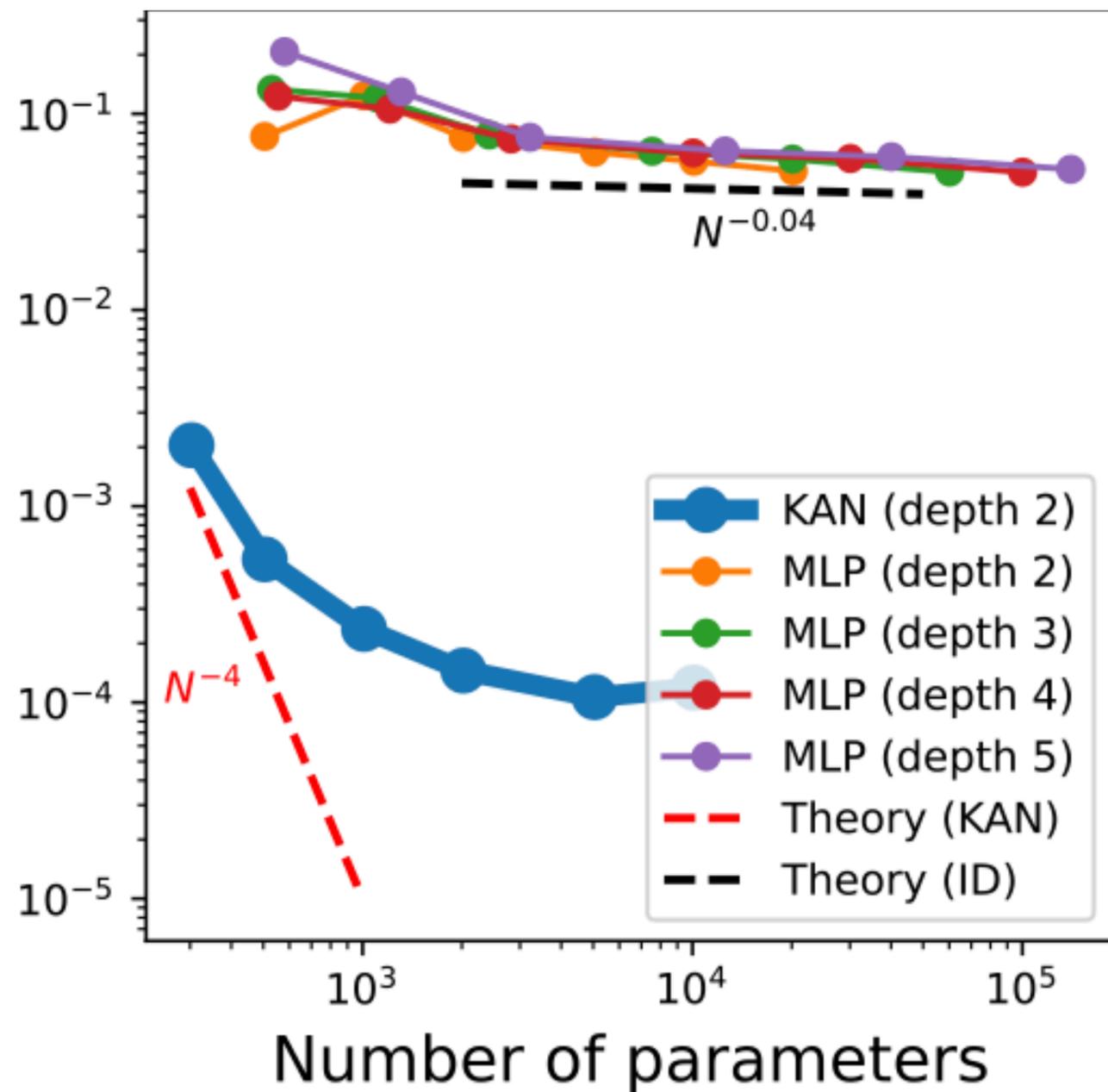


# Example of benefits of KANs

$$f(x_1, \dots, x_N) = \exp \left( \frac{1}{N} \sum_{i=1}^N \sin^2(x_i) \right),$$

$$f(x_1, \dots, x_N) = \exp \left( \frac{1}{N} \sum_{i=1}^N \sin^2(x_i) \right),$$

RMSE



# Encouraging Sparsity

L1 loss

$$|\Phi|_1 = \sum_i \sum_j |\phi_{i,j}|_1$$

$$|\phi|_1 = \frac{1}{n_s} \sum_{n_s} |\phi(x^{(i)})| \quad \text{sum over all samples}$$

# Encouraging Sparsity

$$\ell_{\text{total}} = \ell_{\text{pred}} + \lambda \left( \mu_1 \sum_{l=0}^{L-1} \|\Phi_l\|_1 + \mu_2 \sum_{l=0}^{L-1} S(\Phi_l) \right),$$

L1 loss

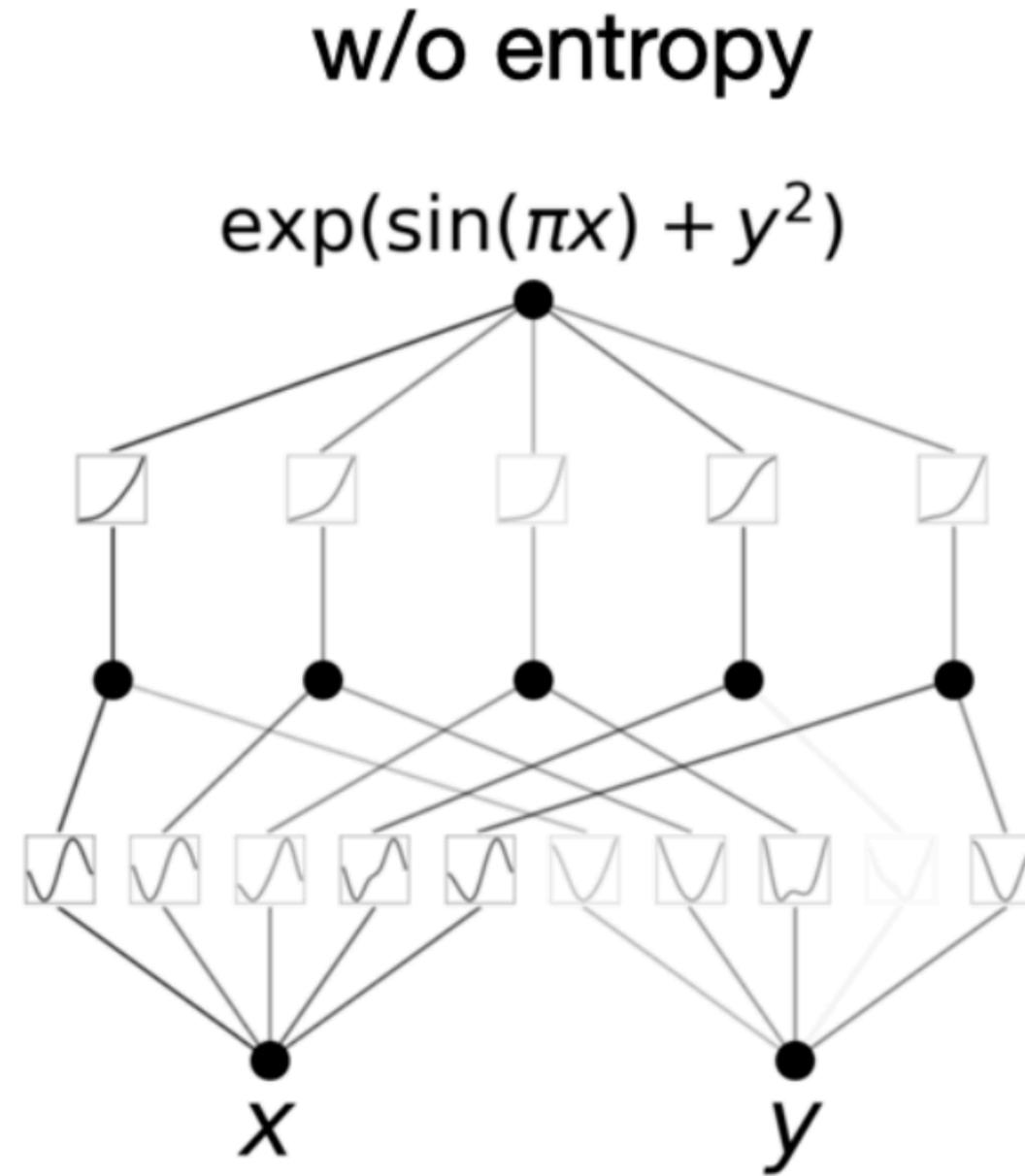
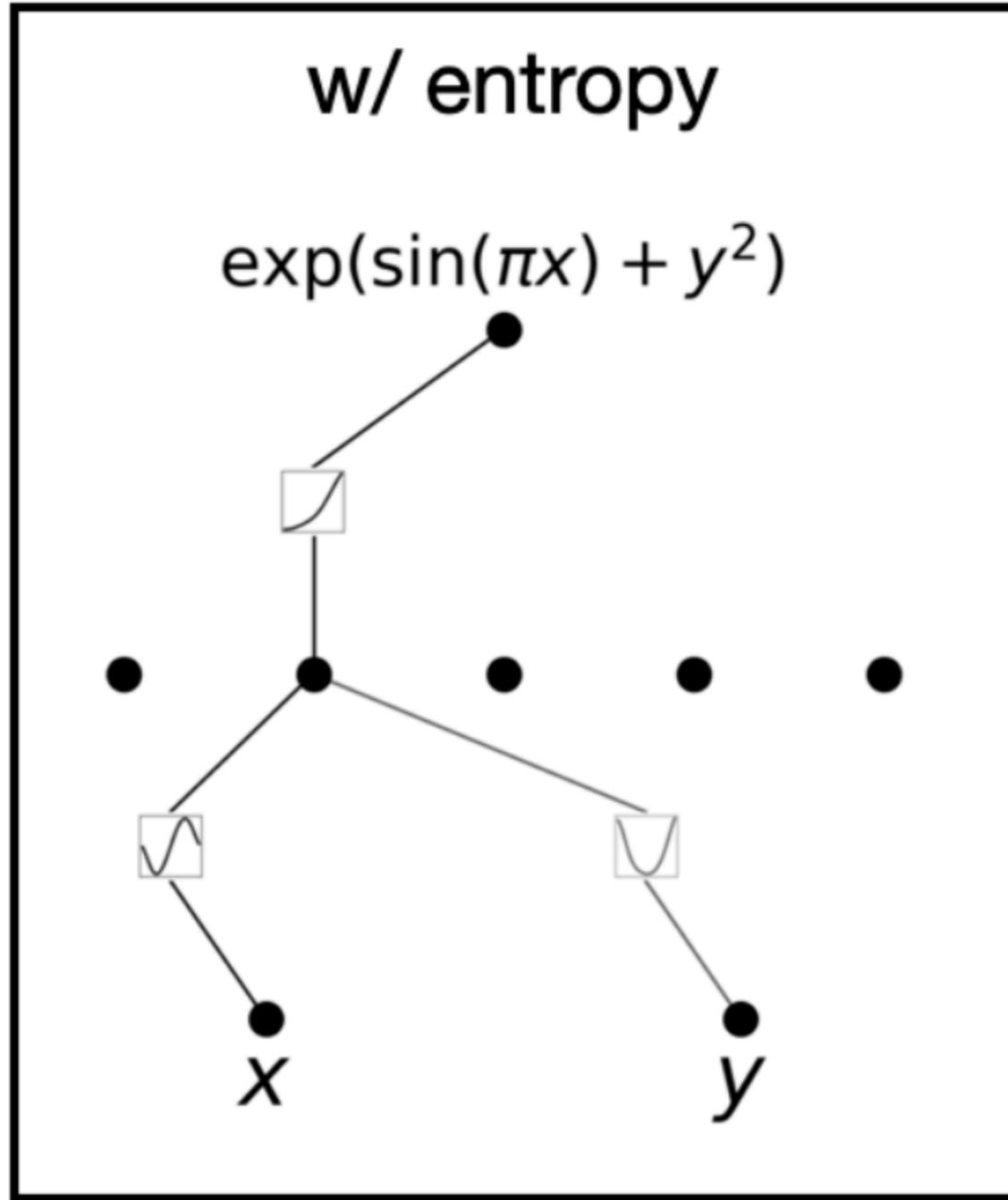
$$\|\Phi\|_1 = \sum_i \sum_j |\phi_{i,j}|_1$$

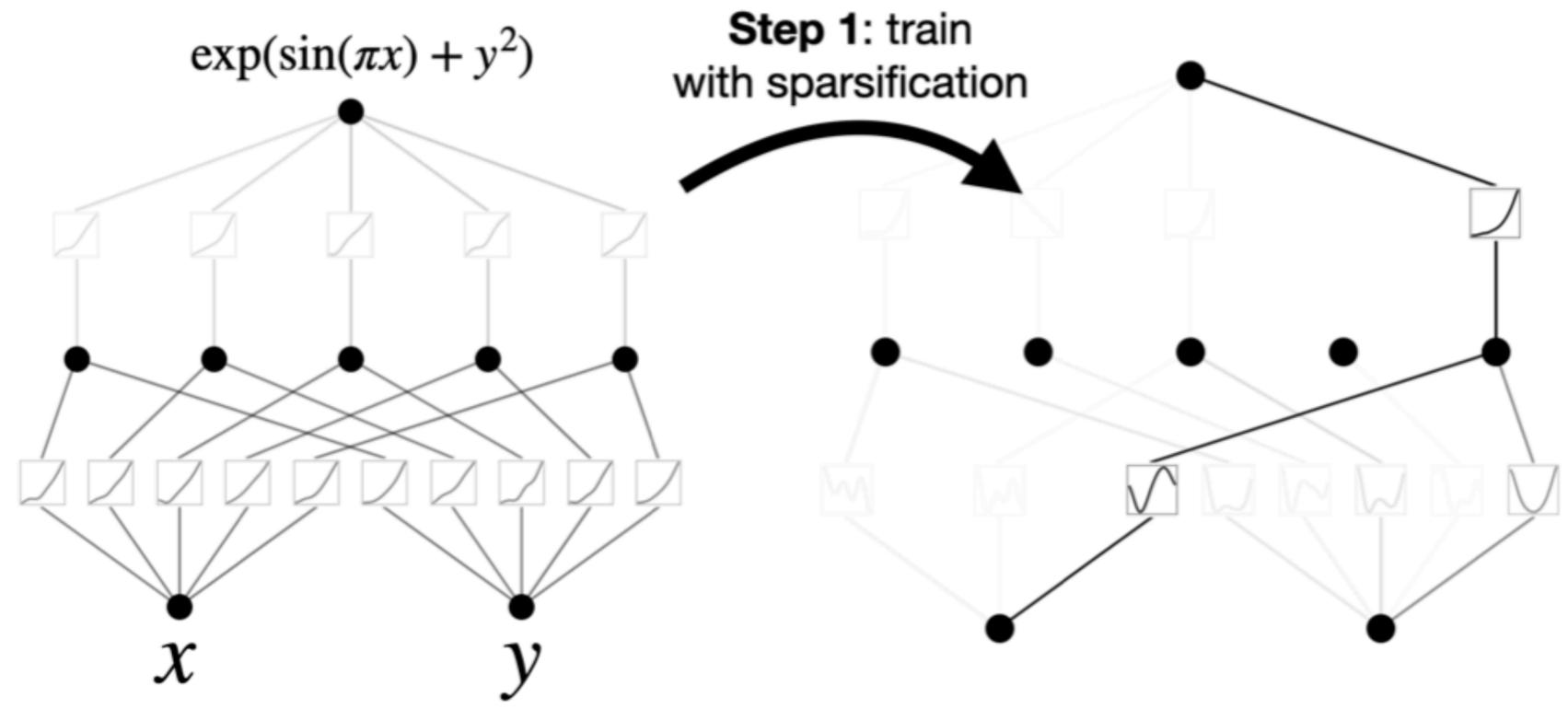
$$\|\phi\|_1 = \frac{1}{n_s} \sum_{n_s} |\phi(x^{(i)})| \quad \text{sum over all samples}$$

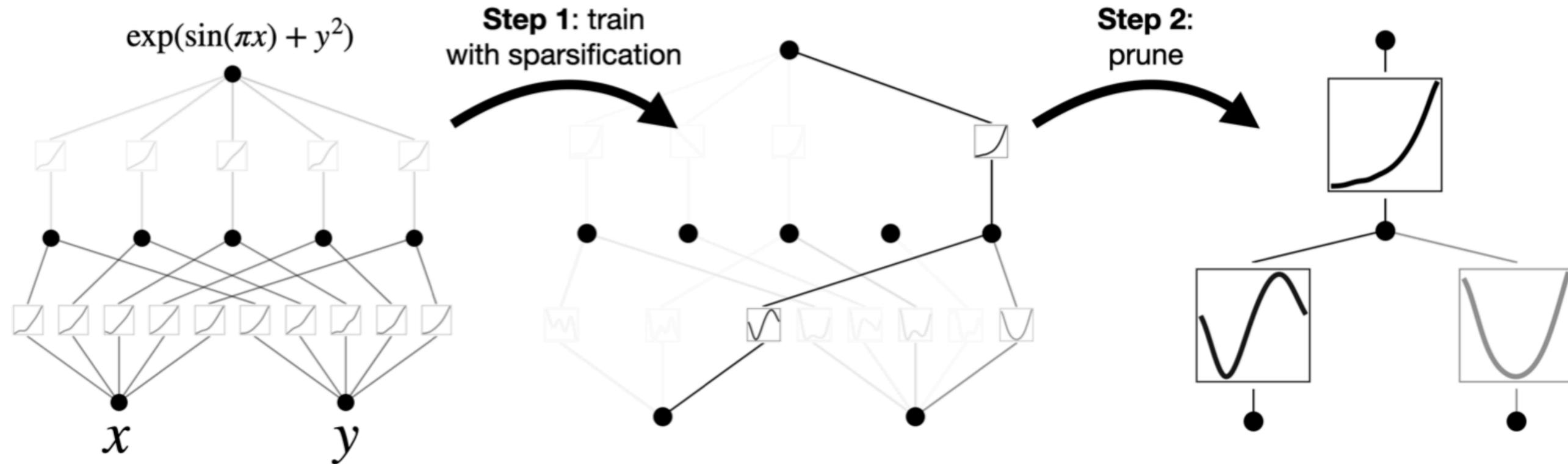
Entropy

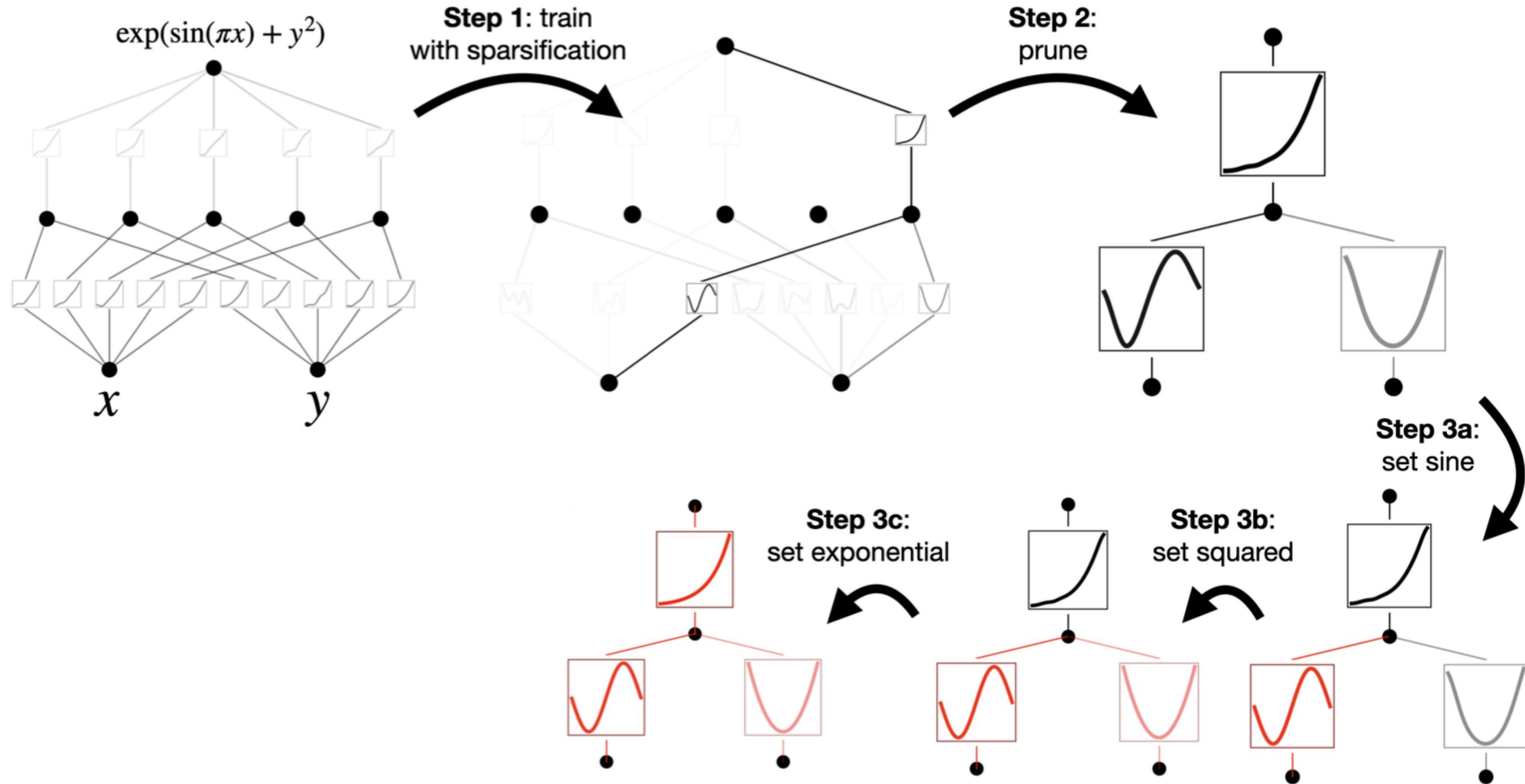
$$S(\Phi) \equiv - \sum_{i=1}^{n_{\text{in}}} \sum_{j=1}^{n_{\text{out}}} \frac{|\phi_{i,j}|_1}{\|\Phi\|_1} \log \left( \frac{|\phi_{i,j}|_1}{\|\Phi\|_1} \right).$$

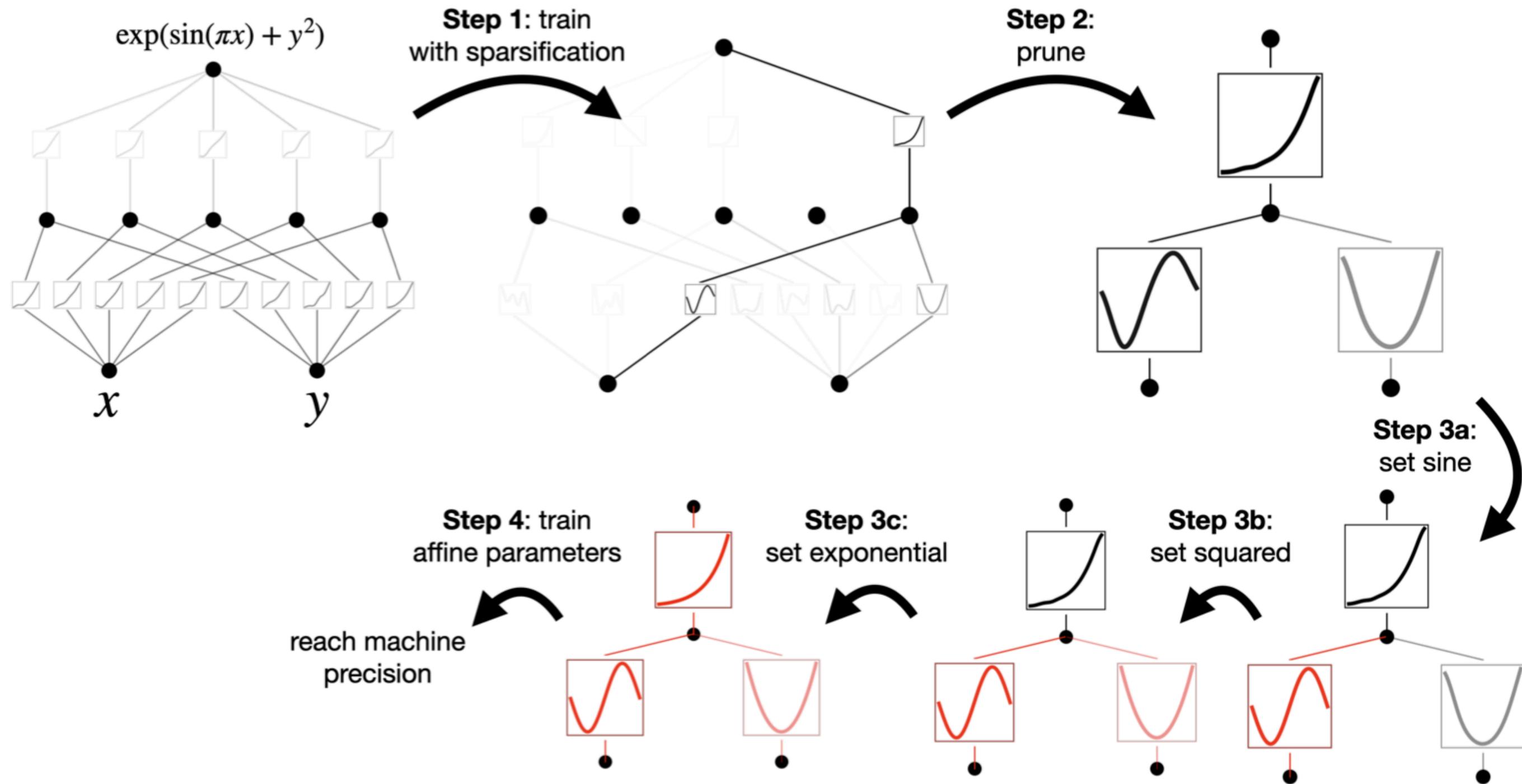
# (a) Effect of entropy regularization

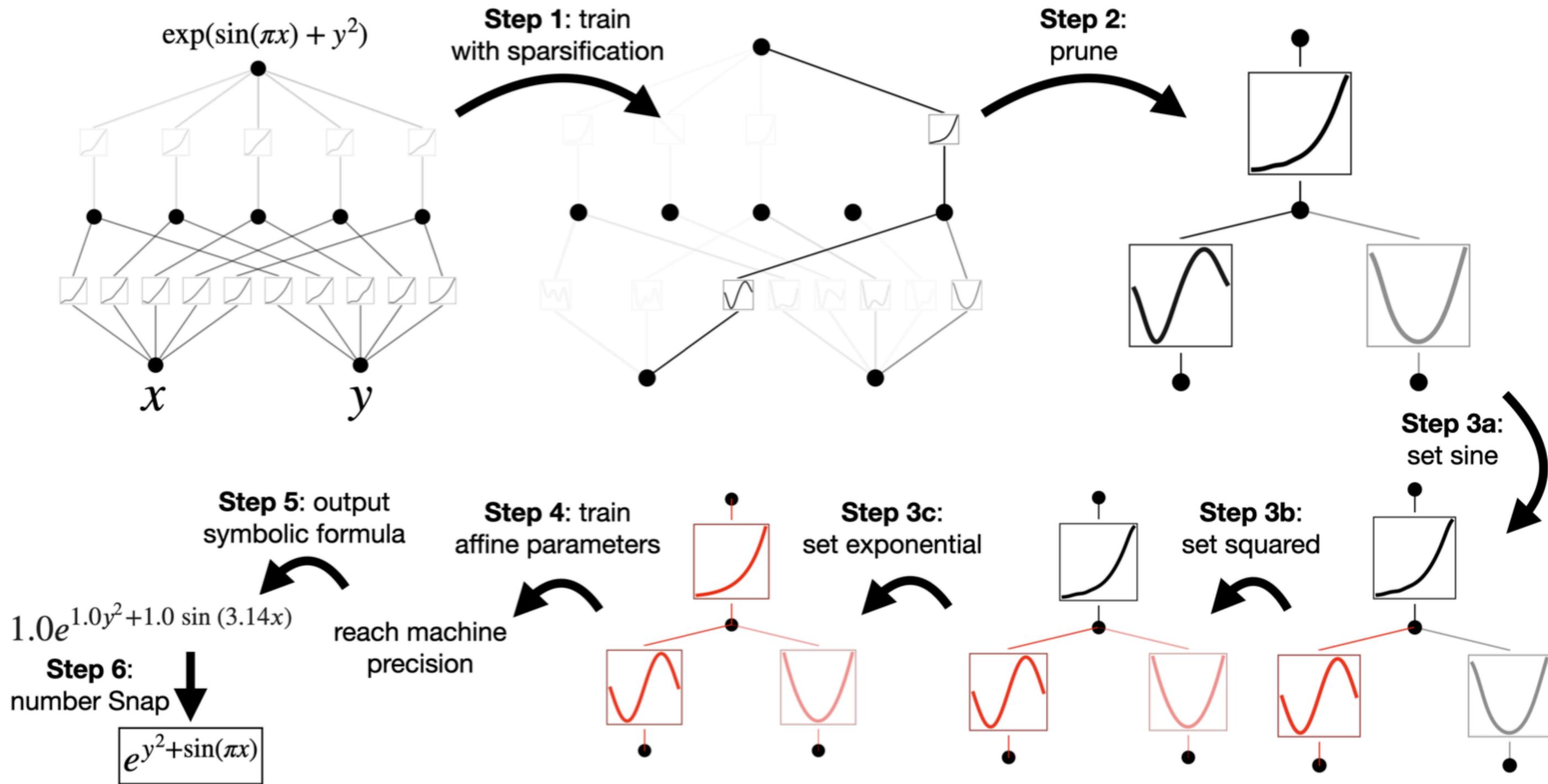




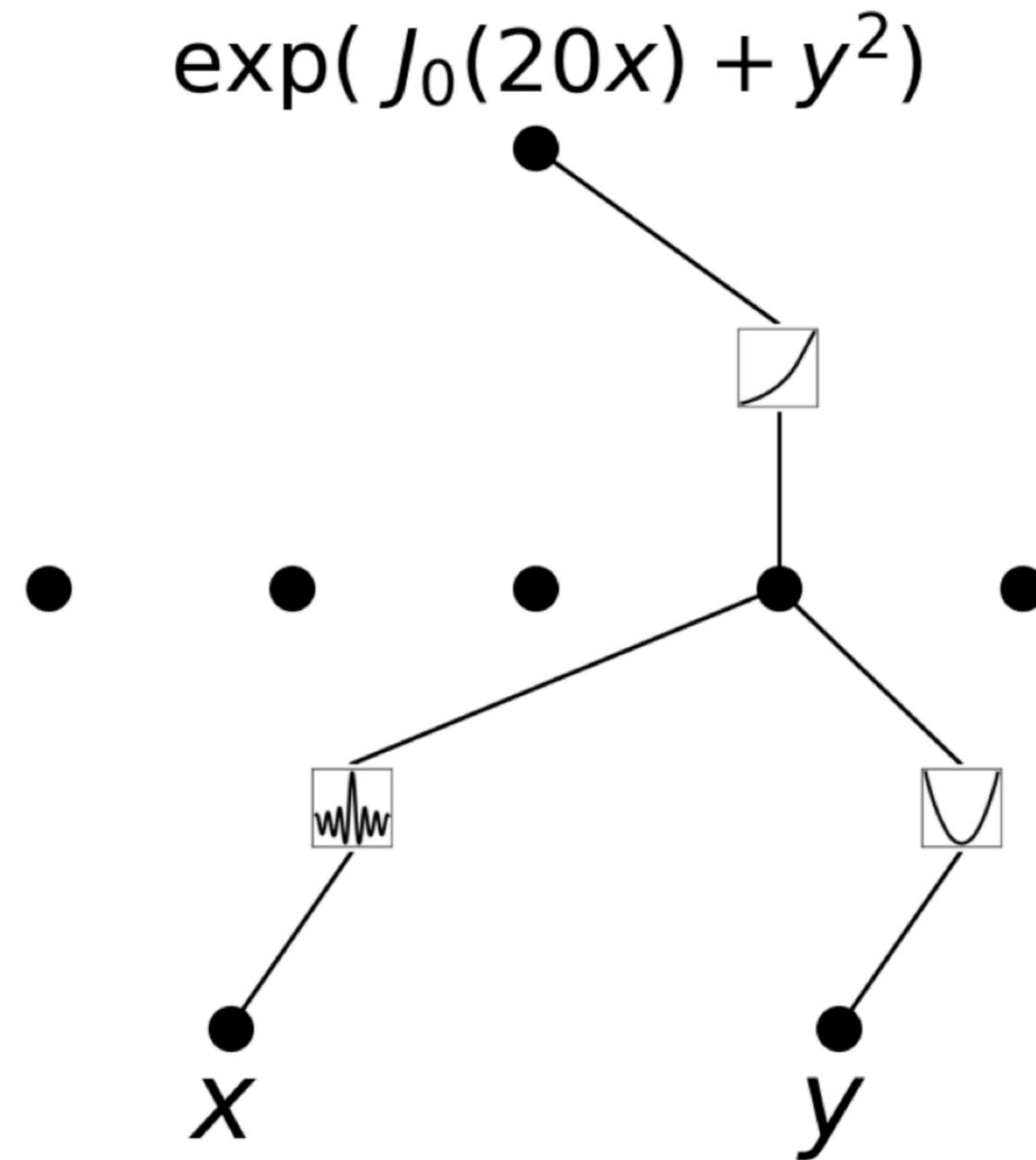




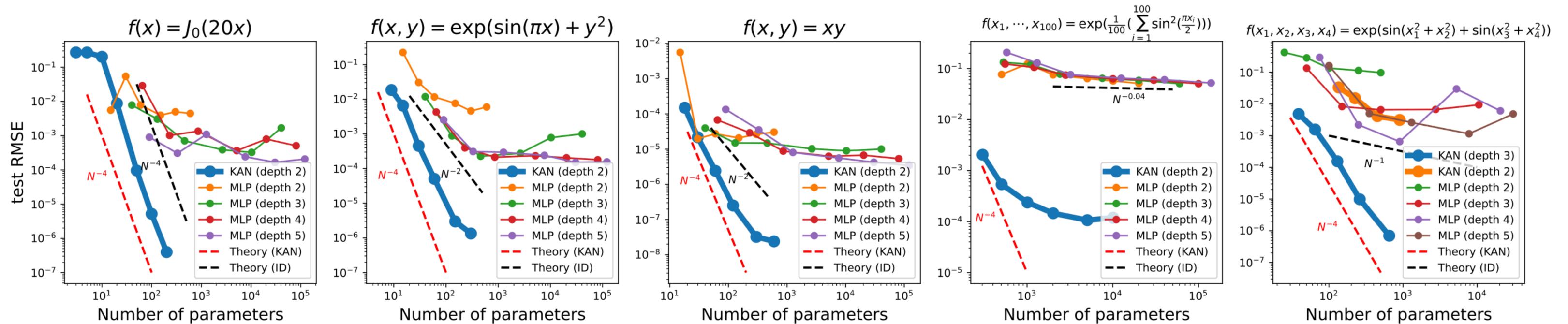




# Advantages over Symbolic Regression



# Accuracy compared to MLP



# KAN compared to MLP

advantage: can facilitate *interpretability*, i.e., symbolic expressions

might achieve higher accuracy in some cases

trains slower

submitted Aug 2024

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# **KAN 2.0: Kolmogorov-Arnold Networks Meet Science**

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**Ziming Liu<sup>1,4\*</sup> Pingchuan Ma<sup>1,3</sup> Yixuan Wang<sup>2</sup> Wojciech Matusik<sup>1,3</sup> Max Tegmark<sup>1,4</sup>**

<sup>1</sup> Massachusetts Institute of Technology

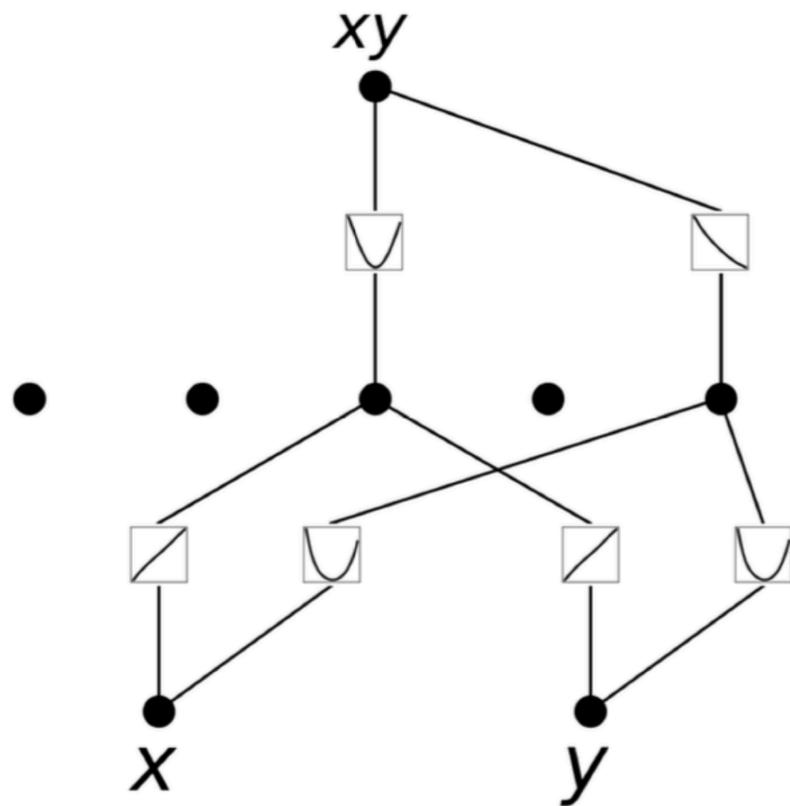
<sup>2</sup> California Institute of Technology

<sup>3</sup> Computer Science and Artificial Intelligence Laboratory (CSAIL), MIT

<sup>4</sup> The NSF Institute for Artificial Intelligence and Fundamental Interactions

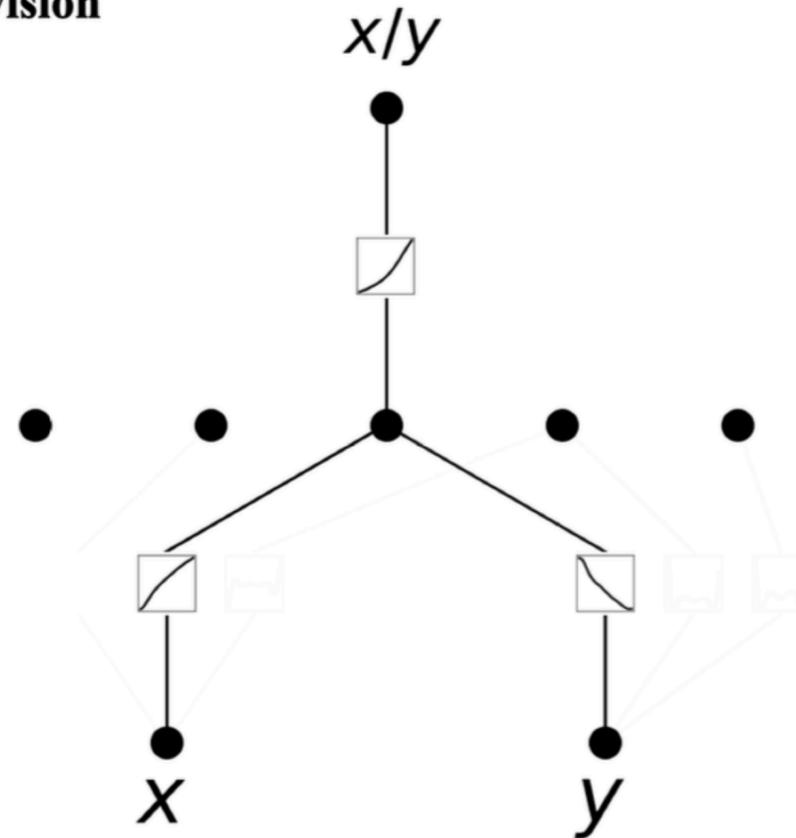
# Learning other symbolic functions

(a) multiplication



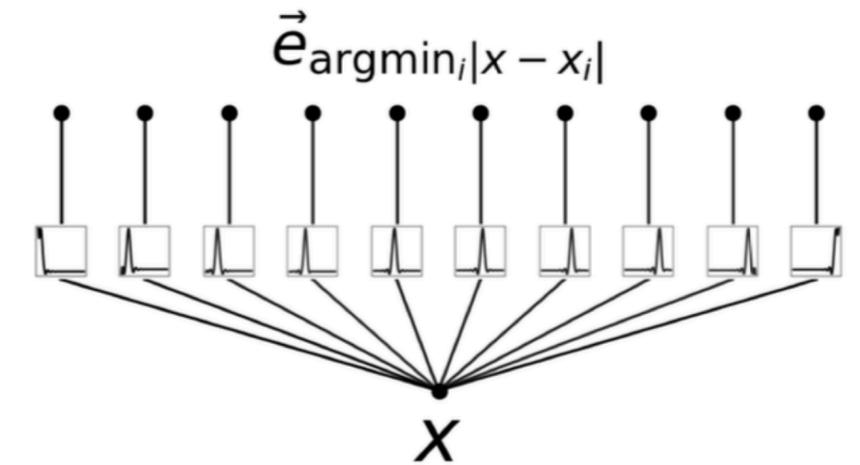
$$2xy = (x + y)^2 - (x^2 + y^2)$$

(b) division



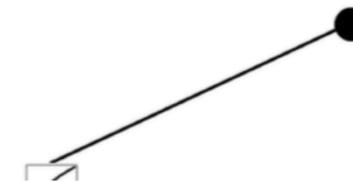
$$x/y = \exp(\log x - \log y)$$

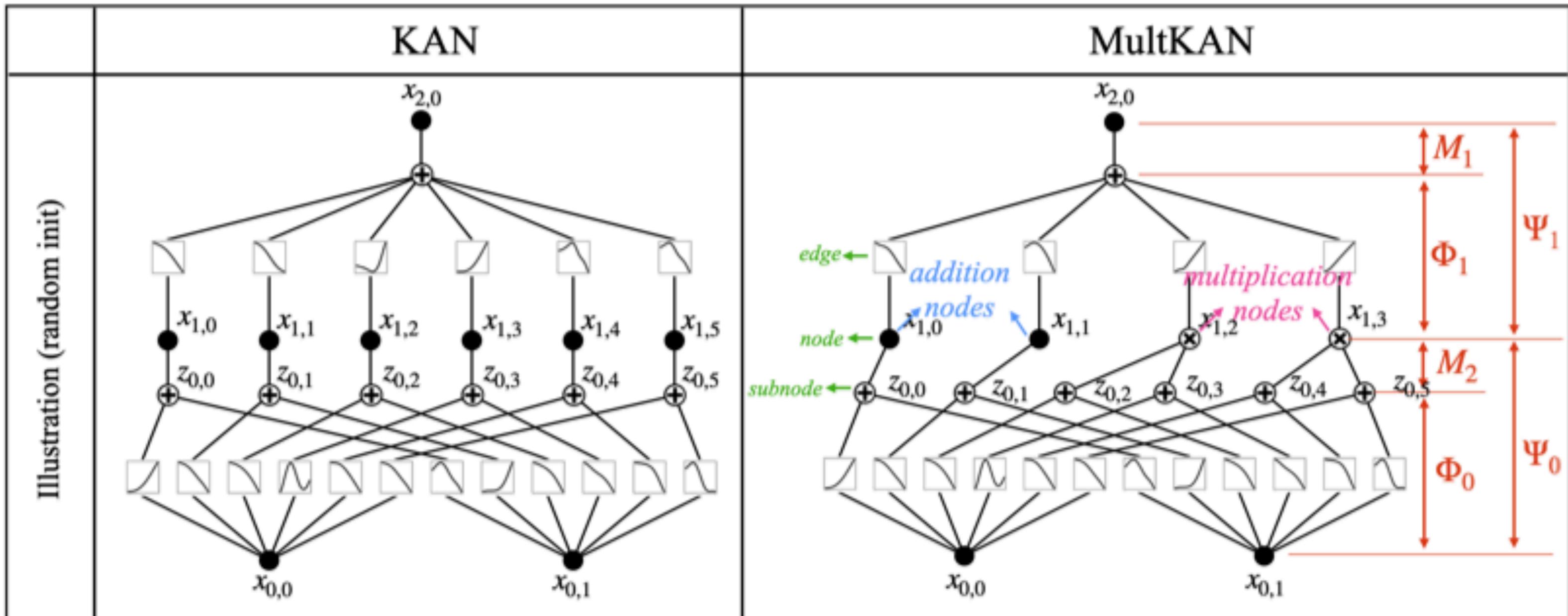
(c) numerical to category



(f) deeper compositions

$$\sqrt{(x_1 - x_2)^2 + (x_3 - x_4)^2}$$





$$\Psi^{(l)} = M^{(l)} \circ \Phi^{(l)}$$